UTI Mutual Fund
Haq, ek behtar zindagi ka.

KEY INFORMATION MEMORANDUM

UTI BSE India Sector Leaders Index Fund

(An open ended scheme replicating/tracking BSE India Sector Leaders Total Return Index (TRI))

THIS PRODUCT IS SUITABLE FOR INVESTORS WHO ARE SEEKING*:	Scheme Riskometer: UTI BSE India Sector Leaders Index Fund	Benchmark Riskometer: BSE India Sector Leaders TRI#
• Returns that are commensurate (before fees and expenses) with the performance of the BSE India Sector Leaders (TRI), over long term, subject to tracking error. • Investment in equity securities covered by the BSE India Sector Leaders (TRI).	 The risk of the scheme is very high	 The risk of the benchmark is very high

Product labelling assigned during the New Fund Offer (NFO) is based on internal assessment of the scheme characteristics or model portfolio and the same may vary post NFO when the actual investments are made.

 # Based on the Index Composition as on July 31, 2026.

*** Investors should consult their financial advisers if in doubt about whether the product is suitable for them.**

New Fund Offer Opens on: Monday, August 31, 2026
New Fund Offer Closes on: Friday, September 11, 2026
Scheme Reopens on : Tuesday, September 22, 2026

New Fund Offer shall remain open for subscription for a minimum period of 3 working days but will not be kept open for more than 15 calendar days.

Offer of Units of Rs. 10/- each during the New Fund Offer and Continuous Offer of Units at NAV based prices

UTI Mutual Fund
UTI Asset Management Company Limited
UTI Trustee Company Private Limited
UTI Tower, 'Gn' Block, Bandra Kurla Complex, Bandra (East), Mumbai – 400051. **Tel.:** (022) 6678 6666, Website: www.utimf.com
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This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. For further details of the scheme/Mutual Fund, due diligence certificate by the AMC, Key Personnel, investors' rights & services, risk factors, penalties & pending litigations etc. investors should, before investment, refer to the Scheme Information Document (SID) and Statement of Additional Information (SAI) available free of cost at any of the UTI Financial Centres or distributors or from the website www.utimf.com.

The scheme particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations 2026, as amended till date, and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM

This Key Information Memorandum is dated **August 21, 2026**

SPONSOR	TRUSTEE	INVESTMENT MANAGER
State Bank of India, Punjab National Bank, Bank of Baroda and Life Insurance Corporation of India (Liability of sponsors limited to Rs.10,000/-)	UTI Trustee Co. (P) Ltd. (Incorporated under the Companies Act, 1956)	UTI Asset Management Co. Ltd. (Incorporated under the Companies Act, 1956)

UTI BSE India Sector Leaders Index Fund	
SEBI Code	[illegible]
Category of the Scheme	Index Fund
Type of the scheme	An open ended scheme replicating/tracking BSE India Sector Leaders Total Return Index (TRI)
Investment Objective	The investment objective of the Scheme is to provide returns that, before expenses, correspond to the total return of the securities as represented by the underlying index, subject to tracking error. However, there is no assurance that the investment objective of the scheme will be achieved.
Asset Allocation Pattern of the scheme	The investment policies of the scheme shall be as per SEBI (Mutual Funds) Regulations, 2026 and within the following guideline. Under normal circumstances, the investment range would be as follows:

Instruments	Indicative Allocations (% of total assets)	
	Minimum	Maximum
Securities covered by BSE India Sector Leaders Index	95%	100%
Money Market Instruments, including Tri-Party Repo on government securities or T-bills, cash & cash equivalents* or Liquid category of Mutual Fund	0%	5%

*As per SEBI Letter dated 3rd November 2021, Cash Equivalents include following Securities having residual maturity of less than 91 Calendar days:

1. TREPS
2. Treasury Bills
3. Government securities, and
4. Repo on Government Securities and any other securities as may be allowed under the regulations prevailing from time to time subject to the regulatory approval, if any.

Indicative Table (Actual instrument/percentages may vary subject to applicable SEBI circulars)

Sr. no.	Type of Instrument	Percentage of exposure	Circular references
1.	Securities Lending (Stock lending)	The scheme may engage in Securities Lending not exceeding 20% of the net assets of the scheme. Not more than 5% of the net assets of a Scheme can generally be deployed in Stock Lending to any single approved intermediary / counterparty.	Clause 12.11 of the SEBI Master Circular for Mutual Funds.
2.	Derivatives (for non-hedging purpose)	The exposure of scheme in Equity derivative instruments shall be upto 20% of the net assets of the scheme.	Clause 12.12 of the SEBI Master Circular for Mutual Funds.
3	Mutual Funds	5% of Net Assets of the	Clause 12.14 of

		Scheme	Chapter 12 of the SEBI Master Circular for Mutual Funds

The scheme will not invest in following securities:

Sr. no.	Type of Instrument
1	Equity Derivatives for non-hedging purposes
2	Securitized Debt
3	Overseas Securities/ Foreign Securities
4	ReITS and InVITS
5	Debt Instruments with Special Features (AT1 and AT2 Bonds)
6	Debt instruments with SO/ CE rating
7	Repo/ Reverse repo transactions in corporate debt securities
8	Credit default Swap transactions
9	Covered call options
10	Unlisted debt instrument
11	Bespoke or complex debt products
12	Unrated debt/ Money market instruments (except G-Secs, T-Bills and other money market instruments)
13	Debt Derivative
14	ADR's/GDR's
15	Commodity Derivatives
16	Short selling

The net assets of the scheme will be invested in stocks constituting the underlying index. This would be done by investing in the stocks comprising of the index. The scheme shall endeavour to maintain the same weightage they represent in the index or investing in derivatives of the said index. A small portion of the net assets will be invested in Money Market Instruments, including Tri-Party Repo on government securities or T-bills, cash & cash equivalents or Liquid category of Mutual Fund.

Subject to the SEBI (Mutual Funds) Regulations 2026 and in accordance Para 12.11 of Chapter 12 of SEBI Master Circular for Mutual Funds as may be amended from time to time, the Scheme may engage in borrowing and lending of securities. The AMC shall adhere to the following limits for Stock Lending:

a. Not more than 20% of the net assets of a Scheme can generally be deployed in Stock Lending.

b. Not more than 5% of the net assets of a Scheme can generally be deployed in Stock Lending to any single approved intermediary / counterparty.

i. The scheme may invest in Mutual Fund units subject to the prevailing regulatory limits of aggregate inter scheme investment made by all schemes under the same management or in schemes under the management of any other asset management company which shall not exceed 5% of the net asset value of the mutual fund.

ii. The cumulative gross exposure through equity, debt, derivative positions, repo transactions and such other securities/assets subject to Regulatory approvals, if any, as may be permitted by the SEBI from time to time should not exceed 100% of the net assets of the scheme.

The Investment Manager would monitor the tracking error of the Scheme on an ongoing basis and would seek to minimize tracking error to the maximum extent possible. The tracking error i.e. the annualized standard deviation of the difference in daily returns between the underlying index and the NAV of the scheme based on past one year rolling data shall not exceed 2%. In case of unavoidable circumstances in the nature of force majeure, which are beyond the control of the AMCs, the tracking error may exceed 2% and the same shall be brought to the notice of Trustees with corrective actions taken by the

	AMC, if any. For Index Fund in existence for a period of less than one year, the annualised standard deviation shall be calculated based on available data. The scheme shall disclose the tracking error based on past one year rolling data, on a daily basis, on the website of AMC and AMFI. The Index scheme shall be in conformity with clause 3.5 of Chapter 3 SEBI Master Circular for mutual funds dated June 27, 2024 or any other such guidelines as recommended by SEBI from to time. The scheme shall be in conformity to applicable SEBI requirements pertaining to Passive Funds as mentioned in SEBI Circular for mutual funds or any other such guidelines as recommended by SEBI from time to time. The net subscription amount on any day will be invested in stocks of companies comprising the underlying Index and will be as per Regulation 44(1), Schedule 7 of the SEBI (Mutual Funds) Regulations, 1996. Pending deployment of funds of the Scheme in securities in terms of the investment objective of the scheme as stated above, the funds of the Scheme may be invested in short term deposits of scheduled commercial banks in accordance with provisions no. 12.16.1 of para 12.16 under Chapter 12 of SEBI Master Circular for Mutual Funds. **Portfolio rebalancing:** Short Term Defensive Consideration: In accordance with the provisions no. 1.14.1.2 (b) of para 1.14 under Chapter 1 of SEBI Master Circular for Mutual Funds, the above investment pattern is only indicative and may be changed by the Fund Manager for a short term period on defensive considerations as applicable under SEBI (MF) Regulations 1996 and circular issued thereunder, the intention being at all times to seek to protect the interests of the Unit Holders. Rebalancing of the portfolio will be done when the asset allocation falls outside the range given above. If the exposure falls outside the above mentioned asset allocation pattern, it will be restored within 7 calendar days or as specified by SEBI from time to time. Portfolio Rebalancing / Replication Norms: As per provision no. 3.6.7 under Chapter 3 of SEBI Master Circular for Mutual Funds, in case of change in constituents of the index due to periodic review, the portfolio of Index Funds be rebalanced within 7 calendar days or as specified by SEBI from time to time. Any transactions undertaken in the scheme portfolio of UTI BSE India Sector Leaders Index Fund in order to meet the redemption and subscription obligations shall be done while ensuring that post such transactions replication of the portfolio with the index is maintained at all points of time. The AMC may create segregated portfolio in case of a credit event in respect of debt and money market instruments at issuer level i.e., downgrade in credit rating by a SEBI registered Credit Rating Agency (CRA), (subject to guideline specified by SEBI which may change from time to time). The creation of segregated portfolio shall be optional and at the discretion of UTI AMC. **Deployment of Funds collected in New Fund Offer (NFO) period** The AMC shall deploy the funds raised in an NFO within 30 business days from the date of unit allotment. In terms of SEBI Circular no. SEBI/HO/IMD/IMD-PoD-1/P/CIR/2025/23 dated February 27, 2025, the AMC shall deploy the funds raised in an NFO within 30 business days from the date of unit allotment. If the AMC is unable to deploy the funds within the 30-business-day period, a written explanation, including details of the deployment efforts, must be

	presented to the AMC's Investment Committee. The Investment Committee may extend the deployment timeline by up to 30 business days and will provide recommendations to ensure timely deployment in the future. If the funds are not deployed within the mandated and extended timelines, the AMC shall be prohibited from receiving new inflows into the scheme until the deployment aligns with the asset allocation. Additionally, the AMC will not charge any exit load on investors who choose to leave the scheme after 60 business days of non-compliance with the allocation. The AMC shall notify all NFO investors of their option to exit the scheme without exit load via email, SMS, or similar communication. Furthermore, any deviations shall be reported to the Trustees at each stage of the process.
Investment Strategy	Investment focus and asset allocation strategy: The scheme is a low-cost index Fund which tracks the BSE India Sector Leaders Index passively. The scheme endeavors to achieve return equivalent to underlying index while minimizing tracking error. UTI BSE India Sector Leaders Index Fund will be managed passively with investments in stocks comprising the Underlying Index subject to tracking error. The investment strategy would revolve around reducing the tracking error to the least possible through regular rebalancing of the portfolio, taking into account the change in weights of stocks in the Index as well as the incremental collections/redemptions in the Scheme. A part of the funds may be invested in money market instruments, including Tri-Party Repo on government securities or T-bills, cash & cash equivalents or Liquid category of Mutual Fund, to meet liquidity requirements. Since the scheme is Index Fund it will invest at least 95% of its total assets in the securities comprising the underlying Index. As part of the Fund Management process, the Scheme may use derivative instruments such as index futures and options, or any other derivative instruments that are permissible or may be permissible in future under applicable regulations. The Scheme intends to use derivatives for the purpose of hedging and portfolio balancing.
Risk Profile of the Scheme	Mutual Fund investments are subject to market risks. Please read the SID carefully for details on risk factors before investment. Past performance of the Sponsors / AMC / Mutual Fund does not guarantee future performance of the scheme. Safety not provided – UTI BSE India Sector Leaders Index Fund is not a guaranteed or return scheme. 1. **Scheme specific risks factors** a. Investors may note that AMC's/Fund Manager's investment decisions may not always be profitable, even though it is intended to generate capital appreciation and returns by passively investing in equity/ equity related securities. b. The value of the investments in the scheme, may be affected generally by factors affecting securities markets, such as price and volume volatility in the capital markets, interest rates, currency exchange rates, changes in policies of the Government, taxation laws or policies of any appropriate authority and other political and economic developments and closure of stock exchanges which may have an adverse bearing on individual securities, a specific sector or all sectors including equity and debt markets. Consequently, the NAV of the Units of the Scheme may fluctuate and can go up or down. c. Trading volumes, settlement periods and transfer procedures may restrict the liquidity of the equity and equity related investments made by the Scheme which could cause the scheme to miss certain investment opportunities. Different segments of the financial markets have different settlement periods and such periods may be extended significantly by unforeseen circumstances leading to delays in receipt of proceeds from sale of securities. The inability of the Scheme to make intended securities purchases due to settlement problems could also cause the

Scheme to miss certain investment opportunities. By the same rationale, the inability to sell securities held in a Scheme's portfolio due to the absence of a well-developed and liquid secondary market for debt securities would result, at times, in potential losses to the Scheme, in case of a subsequent decline in the value of securities held in a Scheme's portfolio.

d. The Scheme may use various derivative products as permitted by the Regulations. Use of derivatives requires an understanding of not only the underlying instrument but also of the derivative itself. Other risks include, the risk of mispricing or improper valuation and the inability of derivatives to correlate perfectly with underlying assets, rates and indices. Usage of derivatives will expose the Scheme to certain risks inherent to such derivatives.

e. The scheme intends to deploy funds in money market instruments to maintain liquidity. To the extent that some assets/funds are deployed in money market instruments, the scheme will be subject to credit risk as well as settlement risk, which might affect the liquidity of the scheme.

f. **Portfolio Concentration Risk:**
Index Funds being a passive investment carries lesser risk as compared to active fund management. The portfolio follows the index and therefore the level of stock concentration in the portfolio and its volatility would be the same as that of the index, subject to tracking error. Thus there is no additional element of volatility or stock concentration on account of fund manager's decisions.

g. **Tracking Error and Tracking Difference Risk:**
The Fund Manager would not be able to invest the entire corpus exactly in the same proportion as in the underlying index due to certain factors such as the fees and expenses of the Scheme, corporate actions, cash balance and changes in the underlying index and regulatory restrictions, lack of liquidity which may result in Tracking Error. Hence it may affect AMC's ability to achieve close correlation with the underlying index of the Scheme. The Scheme's returns may therefore deviate from its underlying index. "Tracking Error" is defined as the standard deviation of the difference between daily returns of the underlying index and the NAV of the Scheme. "Tracking difference" is the annualized difference of daily returns between the index and the NAV of the Scheme.
The Fund Manager would monitor the Tracking Error of the Scheme on an ongoing basis and would seek to minimize the Tracking Error to the maximum extent possible within the limits as prescribed in provisions [illegible] of para [illegible] under Chapter [illegible] of SEBI Master Circular for Mutual Funds. There can be no assurance or guarantee that the Scheme will achieve any particular level of Tracking Error relative to performance of the underlying Index.

h. **Passive Investments:**
As the scheme proposes to invest not less than 95% of the net assets in the securities of the underlying Index, the scheme will not be actively managed. The Scheme may be affected by a general decline in the Indian markets relating to its Underlying Index. The Scheme invests in the securities included in its underlying index regardless of their investment merit. The AMC does not attempt to individually select securities or to take defensive positions in declining markets.

i. **Portfolio Turnover policy:**
The scheme is a passively managed fund and therefore the portfolio turnover will be confined only to rebalancing of the portfolio on account of new subscriptions, redemptions and changes in composition of the underlying index.

2. Debt and Money Market securities investments under the scheme will also be subject to the following risks:

a.Interest Rate Risk / Reinvestment Risk: Scheme would manage the interest rate risk & reinvestment risk by adequately matching the duration of assets in line with the duration of the scheme.

b.Credit Risk: Scheme would primarily invest in highly rated securities where there is an internal credit comfort which would reduce the probability of credit risk.

	c.Concentration Risk: The scheme would have modest presence of issuers with reasonable limits which would mitigate the credit concentration risk. d.Portfolio Risk: By monitoring the return deviation and adequately managing all the above risks namely interest rate risk, reinvestment risk & credit asset concentration risk the scheme would mitigate the overall portfolio risk. 1. Risks associated with investment in units of mutual fund Investment in Mutual Fund Units involves investment risks, including but not limited to risks such as liquidity risk, volatility risk, default risk including the possible loss of principal. Liquidity risk – The liquidity of the scheme's investments is inherently restricted by trading volumes and settlement periods. In the event of an inordinately large number of redemption requests, or of a restructuring of the scheme's investment portfolio, these periods may become significant. In view of the same, the Trustees may limit redemptions (including suspending redemptions) under certain circumstances as specified under the Scheme Information Document. Volatility risks: There is the risk of volatility in markets due to external factors like liquidity flows, changes in the business environment, economic policy etc. The scheme will manage volatility risk through diversification across companies and sectors within PSUs. Default risk – Credit risk is risk resulting from uncertainty in counterparty's ability or willingness to meet its contractual obligations. This risk pertains to the risk of default of payment of principal and interest. Government Securities have zero credit risk while other debt instruments are rated according to the issuer's ability to meet the obligations. 2. Risk factors associated with Creation of Segregated Portfolio a. Investor holding units of segregated portfolio may not be able to liquidate their holding till the time recovery of money from the issuer. b. Security comprises of segregated portfolio may not realise any value. c. Listing of units of segregated portfolio in recognized stock exchange does not necessarily guarantee their liquidity. There may not be active trading of units in the stock market. Further trading price of units on the stock market may be significantly lower than the prevailing NAV. 3. Risks Associated with scheme a. UTI BSE India Sector Leaders Index Fund is a passively managed index fund i.e. the amount collected under the scheme is invested in securities of companies comprising the underlying index in the same weightages as they have in the underlying index. b. The composition of the underlying index is subject to changes that may be effected periodically by the Index Service Provider. c. Performance of the underlying index will have a direct bearing on the performance of the scheme. d. The extent of the Tracking error may have an impact on the performance of the scheme. For details on risk factors and risk mitigation measures, please refer SID
Plans and Options	The Scheme offers following Plans. Regular Plan Direct Plan Both the Plans offer only Growth Option. Growth Option Ordinarily no IDCW will be made under this option. All income generated and profits booked will be ploughed back and returns will be reflected through the NAV.

Direct Plan:
Direct Plan is only for investors who purchase/subscribe units directly with the Fund and is not available for investors who route their investments through a Distributor.

The Direct Plan will be a separate plan under the Scheme and shall have a lower expense ratio excluding distribution expenses, commission etc. and will have a separate NAV. No commission shall be paid / charged from Direct Plan.

Portfolio of the Scheme under the Regular Plan and Direct Plan will be common.

How to apply: Investors subscribing under Direct Plan of UTI BSE India Sector Leaders Index Fund will have to indicate "Direct Plan" against the Scheme name in the application form, for example, "UTI BSE India Sector Leaders Index Fund - Direct Plan".

Treatment of applications under "Direct" / "Regular" Plans:

Scenario	Broker Code mentioned by the investor	Plan mentioned by the investor	Default Plan to be captured
1	Not mentioned	Not mentioned	Direct Plan
2	Not mentioned	Direct	Direct Plan
3	Not mentioned	Regular	Direct Plan
4	Mentioned	Direct	Direct Plan
5	Direct	Not Mentioned	Direct Plan
6	Direct	Regular	Direct Plan
7	Mentioned	Regular	Regular Plan
8	Mentioned	Not Mentioned	Regular Plan

Guidelines for Processing of transactions received under Regular Plan with invalid ARN
Transactions received in Regular Plan with Invalid ARN to be processed in Direct Plan of the same Scheme (even if reported in Regular Plan), applying the below logic:

Transaction Type	Primary ARN			Sub distributor ARN		EUIN*	Execution Only Mentioned	Regular Plan / Direct Plan
	Valid	Invalid	Empanelled	Valid	Invalid	Valid	Yes	
Lump Sum /Registration	Y		Y					Regular
	Y		N	Not Applicable				Direct
	Y		Y	N.A.	N.A.	N.A.	N	Regular
	Y		Y	Y		Y		Regular
		Y						Direct
	Y		Y	Y			Y	Regular
	Y		Y		Y			Direct
Trigger	Y			Not Applicable				Regular
		Y		Not Applicable				Direct

Note:

1) *If the EUIN is invalid/missing, the transactions shall be processed in Regular plan, and the distributor/investor shall be given 30 day period from the date of the transaction for remediation of the EUIN. In such cases, the investor to be advised to either provide a different EUIN linked to the ARN who would be engaged in servicing the investor OR switch to Direct Plan. The commission shall not be paid to the ARN holder if the Switch transaction does not happen, or fresh EUIN is not provided within 30 days. The commission may be paid if the fresh EUIN is provided by client within 30 days.
2) For SIP & STP families, the ARN validity shall be verified / validated at the time of registration. For instances where the registration details not available in RTA records the transaction shall be treated as lumpsum purchase for validations. Distributors must reconcile the active / inactive SIPs with RTA's at regular intervals.

3) SIPs registered under ARN of deceased to continue till end of SIP registration period or investor's request as per AMFI guidelines. No fresh transactions or SIPs to be booked under the ARN of deceased MFD post cancellation of ARN at AMFI.
4) Only Sub-distributor's ARN with valid "ARN" values in the transaction will be considered for validation of Sub- distributor ARN for all types of transactions (lumpsum/SIP/STP).
5) If the ARN is invalid as on date of SIP / STP registration, such registration and future transactions thereunder will be processed under DIRECT plan.
6) Transactions other than the physical mode which are found to be not in order basis above matrix, will be rejected at the time of upload / submission for following reasons:
   a. To give opportunity for the intermediary / platform to rectify details before submitting transactions or to report transactions as DIRECT.
   b. If these transactions are accepted and processed as DIRECT, the intermediary placing the transaction will not be receiving reverse feeds and hence will not be able to reconcile.

   Since the validations cannot be carried out at the time of acceptance or transactions received in physical form, the same will be done at the time of processing the transaction, and if found to be invalid, the transaction will be processed under DIRECT.
7) Transactions received from the stock exchange platforms in Demat mode with invalid ARN shall be rejected instead of processing in Direct Plan for following reasons:
   a. Settlement of units will fail at clearing corporation due to mismatch of ISIN.
   b. If the RTA processes the transaction in DIRECT Plan, the AMC will face issues with corporate action wherein the clearing corporation will not be able to reconcile and credit the units.
   c. The distributor/broker will not be able to download the reverse feed/mail back report for the transactions reported by the respective distributor in case if we process under Direct Plan.
8) Dividend reinvestment transactions, being a corporate action, will be excluded from the above validations.

Applicable NAV (after the scheme opens for subscriptions and redemptions)

Cut off time for Purchase (including switch-in) of any amount across all UTI Mutual Fund schemes (except UTI Liquid Fund and UTI Overnight Fund):

Scenario	Applicable NAV
Application is received before the cut-off time of 3.00 P.M. and funds are available for utilization before the cut-off time.	Closing NAV of the day on which the funds are available for utilization before cut-off time.
Application is received after the cut-off time of 3.00 P.M. and funds are available for utilization on the same day or before the cut-off the next business day.	Closing NAV of the next Business Day
Irrespective of the time of receipt of application, where the funds are not available for utilization before the cut-off time.	Closing NAV of the day on which the funds are available for utilization before cut-off time.

For investments through systematic investment routes such as Systematic Investment Plans (SIP), Systematic Transfer Plans (STP), Transfer of Income Distribution cum capital withdrawal Plan (Transfer of IDCW Plan), etc. the units will be allotted as per the closing NAV of the day on which the funds are available for utilization by the Target Scheme irrespective of the installment date of the SIP, STP etc.

Redemption :

Operation	Cut-off Timing	Applicable NAV
Valid applications received	Upto 3 p.m.	Closing NAV of the day of receipt of the application.
Valid applications received	After 3 p.m.	Closing NAV of the next business day.

Redemption requests: Where, under the scheme, units are held under both the Regular Plan

	and Direct Plan, the redemption/switch request shall clearly mention the plan. If no Plan is mentioned, it would be processed on a first in first out (FIFO) basis considering both the Plans. Tax consequences: Switch / redemption may entail tax consequences. Investors should consult their professional tax advisor before initiating such requests and take an independent decision accordingly. NOTE: - The Cut off timing for subscriptions / redemptions / switches governed by SEBI Circulars / notifications and AMFI Guidelines issued from time to time.
Minimum Application Amount / Number of units	Minimum amount of investment during NFO and On an Ongoing basis under all Plans and Options Minimum initial investment amount is Rs. 1,000/- and in multiples of Rs.1/- thereafter. Subsequent minimum investment amount under a folio is Rs.1,000/- and in multiples of Rs.1/- thereafter with no upper limit. Note: Allotment of units will be done after deduction of applicable stamp duty, if any. **Minimum SIP Amount:** The minimum SIP amount for Daily, Weekly and Monthly SIP is Rs.500/- and in multiples of Rs.1/- thereafter. The minimum SIP amount for Quarterly SIP is Rs. 1,500/- and in multiples of Rs.1/- thereafter. **Minimum Redemption Amount:** The minimum Redemption amount is Rs.1000/- and in multiples of Rs.1/- thereafter. In case of partial redemption, if the balance amount held in the Unit holder's folio / account under the Plan / Option of the Scheme is less than the minimum investment amount, then the transaction shall be treated as an all units redemption and the entire balance of available Units in the folio / account of the Unit holder shall be redeemed.
Despatch of Redemption Proceeds	The redemption proceeds shall be paid to the unitholders within 3 working days from the date of redemption. In the event of failure in payment of the redemption or repurchase proceeds within the period specified above, UTI AMC shall be liable to pay interest to the unitholders at such rate as may be specified by the SEBI vide provision 12.4 of Master Circular for Mutual Funds, for the period of such delay. (presently @ 15% per annum). In case of funds received through Cash Payment mode, the redemption proceeds shall be remitted only to the designated bank account. Physical dispatch of proceeds shall be carried out only in exceptional circumstances as specified by AMFI and UTI AMC shall maintain records along with reasons for all such physical dispatches. However, in case of exceptional circumstances prescribed by AMFI, in consultation with SEBI, redemption or repurchase proceeds shall be transferred / dispatched to Unitholders within the time frame prescribed such as: 1) Payment of redemption proceeds through physical instruments (Cheque/DD) where electronic fund transfer is not possible Additional 2 working days. Mode of Payment of above is mandatorily by Electronic transfer into bank account of the investor. Accordingly, investors are required to update their bank account details, KYC etc to receive monies, in the prescribed manner and timelines. For further details in this regard, please refer to SAI.
Benchmark Index	UTI BSE India Sector Leaders TRI is the benchmark for UTI BSE India Sector Leaders Index Fund.

	The performance of the Scheme will be benchmarked against BSE India Sector Leaders TRI as the scheme tracks the BSE India Sector Leaders Index passively. The composition of the aforesaid benchmark is such that it is most suited for comparing the performance of the scheme. The benchmark selected is in line with provision for selection of benchmark "For Index Funds" as prescribed under clause 7.22.3 of para 7.22 under Chapter 7 of SEBI Master Circular for Mutual Funds.
Dividend / IDCW Policy	Not Applicable
Name of the Fund Manager	Mr. Sharwan Kumar Goyal is the Fund Manager for the scheme. Mr. Ayush Jain & Mr. Lokesh Kakkar is Assistant Fund Manager for the scheme.
Dedicated Fund Manager for overseas investments	N.A.
Name of the Trustee Company	UTI Trustee Company Private Limited
Performance of the Scheme	This scheme is a new scheme and does not have any performance track record
Expenses of the scheme	**New Fund offer Period Expenses:** All New Fund Offer expenses would be borne by AMC **Continuous offer Expenses:** The expenses are accrued daily and are reflected in the daily NAV of the scheme.
Load Structure	**Load Structure during New Fund Offer Period and on an Ongoing basis:** **Entry and Exit Load:** **Entry Load:** Not Applicable* *In terms of provisions on 11.7.1 of para 11.7 under Chapter 11 of SEBI Master Circular for Mutual Funds, no entry load will be charged by the scheme to the investor. **Exit Load:** Nil
Recurring Expenses	The AMC has estimated that upto 1.00 % of the daily net assets of the scheme will be charged to the scheme as expenses. The current expense ratios would be updated on the website of UTI Mutual Fund at least three working days prior to the effective date of the change. Investors can refer https://www.utimf.com/downloads/ter and website of AMFI namely www.amfiindia.com for Total Expense Ratio (TER) details. The maximum limit of recurring expenses that can be charged to the scheme would be as per Regulation 52 of the SEBI (MF) Regulations, 1996. Investors are requested to read "Section – Annual Scheme Recurring Expenses" in the SID.
Expenses in addition to recurring expenses	**Total Expense Ratio (TER) and Additional incentives:** **Total Expense Ratio (TER):** As defined in MF Regulations, 1996, Total expense ratio (TER) means the ratio of total of all expenses charged to the investors of the scheme to the total asset under management of the scheme.

The TER shall consist of the following components:

Base expense ratio (BER) (subregulation 7 of Regulation 66) - The BER shall be charged to the scheme(s) within the base expense ratio limits* specified under the MF Regulations, 2026.

The BER shall include:

- Investment and Advisory fees (subregulation 4 of Regulation 66)
- Recurring expenses (subregulation 2 of Regulation 66)

Charges/ commission/ fees related to distribution of mutual fund schemes (Sub-regulation 6 of Regulation 66)

but excludes statutory levy applicable, if any, on above expenses and transaction cost specified under subregulation 10 of Regulation 66.

Brokerage Cost (subregulation 8 of Regulation 66) – schemes may charge expenses incurred towards brokerage, for the purpose of execution of trade, over and above the base expense ratio subject to a maximum of 0.06 per cent of trade value in case of cash market transactions and 0.02 per cent of trade value in case of derivatives transactions. Expenses charged towards brokerage, over and above the specified limit, shall be part of the base expense ratio limit specified under sub regulation 7 of Regulation 66.

Transaction cost (subregulation 10 of Regulation 66) - incurred for the purpose of execution of a trade shall mean regulatory levies and any other expenses charged by the stock exchanges, clearing corporation, and clearing house, as applicable. Such transaction costs shall not form part of the base expense ratio.

Statutory levy (clause yy of sub regulation 1 of Regulation 2) - means levy imposed by state government and central government.

Any expense other than those specified in subregulation (4), subregulation (2), sub regulation (6), subregulation (8) and subregulation (10), as mentioned above, shall not be charged to the scheme and shall be borne by the AMC or trustee or sponsors.

No charges other than the base expense ratio, brokerage cost, transaction cost, statutory levy and exit load excluding levies as may be specified by SEBI, shall be charged to the investors.

Any expenditure in excess of the base limits specified in the MF Regulations shall be borne by the AMC or the trustees or sponsors. If any expense of the scheme is borne by the AMC or by the trustees or sponsors, the same shall be done only after the investment and advisory fees charged to the scheme, if any, is fully reversed.

Additional incentives

As per the Provision No. 10.4 of Chapter 10 of SEBI Master Circular for Mutual Funds and applicable AMFI guidelines, the AMC shall pay additional commission to distributors for onboarding eligible new investors as given below, effective from March 01, 2026:

(a) <u>Eligibility Criteria</u>:

a) New individual investors (new PAN) from B-30 cities at the mutual fund industry level

b) New women individual investors (new PAN) from both Top 30 and B-30 cities.

(b) <u>Incentive Structure to be applied</u>:

Investment Mode	Commission Structure

Lump Sum Investment	1% of the amount of the first application subject to a maximum of ₹3,000, provided the investor remains invested for a minimum period of one year.
Systematic Investment Plan (SIP)	1% of the total investment made during the first year, subject to a maximum of ₹3,000.

For further details on TER, please refer to SAI.

(ii) Statutory Levy (Goods and Services Tax (GST))

GST on exit load, if any, shall be paid out of the load proceeds. Exit load, net of GST, if any, shall be credited to the Scheme.

(iii) Investor Education and Awareness

UTI Mutual Fund shall annually set apart atleast 1 bps on daily net assets within the maximum limit of TER for investor education and awareness initiatives.

(iv) Illustration of impact of expense ratio on scheme's returns

Simple illustration to describe the impact of the expense ratio on returns of the scheme.

Sr. No.	Particulars	Regular Plan	Direct Plan
A	Amount invested (Rs.)	10,000	10,000
B	Gross returns – assumed	12%	12%
C	Closing NAV before expenses (Rs.)	11,200	11,200
D	Scheme Expenses (Rs.)	150	150
E	Distribution Expenses (Rs.)	50	0
F	Total NAV after charging expenses (C-D-E)	11,000	11,050
G	Net returns to investor	10.0%	10.5%

- As per SEBI Regulation expenses are charged to the scheme on daily basis on daily net assets and as per percentage limits specified by SEBI.
- The illustration is to simply describe the impact of expenses charged to the Scheme on schemes returns and should not be construed as providing any kind of investment advice or guarantee of returns on investments.
- The above calculations are based on assumed NAVs, and actual returns on investment would be different.

2. Change in expense ratio

AMCs shall prominently disclose on a daily basis, the TER (scheme-wise, date-wise) of all schemes under a separate head – "Total Expense Ratio of Mutual Fund Schemes" on their website and on the website of AMFI in a downloadable spreadsheet format.

Any change in the BER in comparison to previous BER charged to any scheme/plan shall be communicated to investors of the scheme/plan through notice via email or SMS at least three working days prior to effecting such change. Provided that any increase or decrease in BER in a mutual fund scheme due to change in AUM and any decrease in BER in a mutual fund scheme due to various other regulatory requirements would not require issuance of any prior notice to the investors.

The above change in the BER in comparison to previous BER charged to the scheme shall

	be intimated to the Board of Directors of AMC along with the rationale recorded in writing. The changes in TER shall also be placed before the Trustees on quarterly basis along with rationale for such changes. For further details on TER, please refer to the SID.
Tax Treatment for the Investors (Unitholders)	Investors are advised to refer to the details in the Statement of Additional Information (SAI) and also independently refer to his/her tax advisor.
Daily Net Asset Value (NAV) Publication	The NAVs will be declared by [illegible] p.m. on all business days and will be available on website of UTI Mutual Fund www.utimf.com and website of AMFI namely www.amfiindia.com. If the NAVs are not available before commencement of business hours on the following day due to any reason, the Fund shall issue a press release providing reasons and explaining when the Fund would be able to publish the NAVs. Further, the Mutual Fund will extend facility of sending latest available NAVs to unit holders through SMS, upon receiving a specific request in this regard. You can also call us at 1800 266 1230 (toll free number) or (022) [illegible] (non toll free number).
For Investor Grievances please contact	**Name and Address of Registrar** KFin Technologies Ltd. Unit: UTIMF Karvy Selenium Tower B, Plot Nos. 31 & 32, Financial District, Nanakramguda, Serilingampally Mandal, Hyderabad - 500 032. Board No. 040-6716 2222, Fax No.: 040- 6716 1888, Email: uti@kfintech.com All investors could refer their grievances giving full particulars of investment at the following address: Ms. Madhavi Dabholkar UTI Asset Management Company Ltd. UTI Tower, Gn Block, Bandra-Kurla Complex, Bandra (East), Mumbai - 400 051 Tel: 022-6678 6666/6678 6228 Investors may post their grievances at our website www.utimf.com or email us at service@uti.co.in
Unitholders Information	Accounts statement and abridged annual financial results shall be provided to investors. Monthly / Half yearly scheme portfolio disclosure will be mailed to unitholders and disclosed on our website / AMFI website.
Index Methodology	**Introduction** The Index is designed to measure the performance of companies in each Sector within [illegible]. Constituents are weighted by float-adjusted market capitalization, subject to capping constraints as defined in Index Construction. **Index construction & Review Methodology.** **Eligibility Criteria** The index universe is drawn from the constituents of the [illegible] Index. The top [illegible] companies are selected from each sector classified as per the [illegible] India Industry Classification Structure. **Constituent Selection** 1. At each semi-annual rebalancing, eligible stocks must satisfy the following in order to

be considered for index inclusion.

2. Stocks forming part/eligible to be a part of BSE 500 Index at the time of review.
   Stocks satisfying the criteria in step 1 are then ranked within their sector based on average six-month daily total market capitalization.
3. During reconstitution, existing constituent will not be excluded till they continue to be in the top 7 ranked by full market capitalization within its sector.
4. Maximum 5 companies from each sector are selected

Constituent Weighting

Constituents are weighted by float-adjusted market capitalization, subject to an individual floor capping of 1% and ceiling capping of 5%, applied at each quarter effective as of market open on the Monday following the third Friday of March, June, September and December respectively.

The constituents of the BSE India Sector Leaders Index as on July 31, 2025 are

SECURITY NAME	WEIGHTAGE %
BHARTI AIRTEL LTD.	5.22%
ICICI BANK LTD.	5.22%
RELIANCE INDUSTRIES LTD.	4.89%
HDFC BANK LTD.	4.73%
LARSEN & TOUBRO LTD.	4.69%
STATE BANK OF INDIA	4.20%
INFOSYS LTD.	3.98%
MAHINDRA & MAHINDRA LTD.	3.07%
ITC LTD.	2.76%
TATA CONSULTANCY SERVICES LTD.	2.42%
Eternal Limited	2.21%
SUN PHARMACEUTICAL INDUSTRIES	2.07%
TITAN COMPANY LIMITED	2.06%
HINDUSTAN UNILEVER LTD.	1.90%
MARUTI SUZUKI INDIA LTD.	1.86%
NTPC LTD.	1.67%
TATA STEEL LTD.	1.58%
ULTRATECH CEMENT LTD.	1.42%
BHARAT ELECTRONICS LTD.	1.40%
Lodha Developers Limited	1.36%
POWER GRID CORPORATION OF INDI	1.35%
ADANI PORTS AND SPECIAL ECONOM	1.27%
ASIAN PAINTS LTD.	1.22%
GODREJ INDUSTRIES LTD.	1.20%
ADANI ENTERPRISES LTD.	1.16%
DIVIS LABORATORIES LTD.	1.16%
Tata Motors Limited	1.12%
CONTAINER CORPORATION OF INDIA	1.10%
PVR INOX LIMITED	1.10%

DLF LTD.	1.10%
OBEROI REALTY LTD.	1.08%
InterGlobe Aviation Limited	1.08%
BAJAJ AUTO LTD.	1.07%
ZEE ENTERTAINMENT ENTERPRISES	1.06%
Bharti Hexacom Limited	1.06%
Hindustan Aeronautics Limited	1.06%
HAVELLS INDIA LTD.	1.03%
TRENT LTD.	1.03%
3M INDIA LTD.	1.03%
PIDILITE INDUSTRIES LTD.	1.02%
CIPLA LTD.	1.02%
PAGE INDUSTRIES LTD.	1.00%
AMBUJA CEMENTS LTD.	0.99%
NESTLE INDIA LTD.	0.99%
SOLAR INDUSTRIES INDIA LTD.	0.98%
TRIDENT LTD.	0.97%
WIPRO LTD.	0.97%
INDIAN OIL CORPORATION LTD.	0.97%
GRASIM INDUSTRIES LTD.	0.95%
SUN TV NETWORK LTD.	0.95%
K.P.R.MILL LIMITED	0.94%
DCM SHRIRAM LIMITED	0.94%
Rail Vikas Nigam Limited	0.93%
HINDUSTAN ZINC LTD.	0.93%
IRB INFRASTRUCTURE DEVELOPERS	0.93%
Avenue Supermarts Limited	0.93%
OIL AND NATURAL GAS CORPORATIO	0.92%
SRF LTD.	0.90%
INDUS TOWERS LIMITED	0.89%
Adani Green Energy Limited	0.88%

Reconstitution & Rebalancing criteria

Index is rebalanced on semi-annual basis. The cut-off date is January 31 and July 31 of each year, i.e. for semi-annual review of indices, average data for six months ending the cut-off date is considered.

Tracking Error:

Performance differences between the scheme and the underlying index may arise as a result of several factors including:

i. Any delay experienced in the purchase or sale of shares due to illiquidity of the market, settlement and realization of sales proceeds and in receiving cash and stock dividends resulting in further delays in reinvesting them.
ii. Any costs associated with the establishment and running of the scheme including costs on transactions relating to investment, reorganization and other operating costs.
iii. Underlying index considers the prices of shares at close of business hours. However, the scheme may be able to buy or sell shares at different points of time during the trading session at the then prevailing prices, which may not correspond to the closing prices.
iv. Significant changes in the composition of the index, may involve exclusion of certain securities in the indices in which event while the scheme will endeavor to balance its portfolio it may take some time to precisely mirror the indices.

	v. The holding of a cash position and accrued dividend prior to distribution and accrued expenses. vi. Disinvestments to meet exits of investors, recurring expenses, etc. as elsewhere indicated in this Scheme Information Document. We are complying with following norms for Portfolio Concentration for Index Fund as specified under the provision no. 3.3.1 & 3.3.2 of para 3.3 under Chapter 3 of SEBI Master Circular for Mutual Funds. i. The Index shall have a minimum of 10 stocks as its constituents. ii. For a sectoral/ thematic index, no single stock shall have more than 35% weight in the index. For other than sectoral / thematic indices, no single stock shall have more than 25% weight in the index. iii. The weightage of the top three constituents of the index, cumulative shall not be more than 65% of the index. iv. The individual constituents of the index shall have a trading frequency greater than or equal to 80% and an average impact cost of 1% or less over previous six months.
Product Differentiation	UTI BSE India Sector Leaders Index Fund is the only scheme offered by UTI Mutual Fund under BSE India Sector Leaders Index category.
Auto switch facility	Auto switch facility for existing investors having investments in open ended schemes of UTI Mutual Fund. Terms and conditions of Auto Switch facility: 1. Auto switch facility is a facility available to the existing investors having investments in any open ended scheme (excluding Exchange traded Funds) of UTI Mutual Fund (transferor scheme) to tender switch application on any day during the New Fund Offering (NFO) period of any new scheme (transferee scheme), to switch units from existing open ended schemes to that new scheme. However, units under transferor scheme will be switched on closing day of NFO of transferee scheme. 2. The units from the Transferor Scheme will be switched, subject to provisions mentioned in the Scheme Information Document of the Transferor Scheme. The application for Auto Switch will be processed on the closing day of the NFO. The units in the Transferee Scheme will be allotted at the NFO Price of the Scheme on the allotment date. 3. The price at which the units will be switched-out will be redemption price of transferor scheme (with applicable taxes and exit load, if any) from which switch-out is done and units under new scheme will be issued at the allotment NAV on allotment date. 4. The units/amount requested for switch, shall be available in transferor scheme at the time of submission of switch request and also at the time when switch out is effected. 5. Unit holders are required to maintain clear balance in accordance with amount specified in the Auto-switch Application Form on the execution date. In case of insufficient balance in the account/ folio, the application for Auto-switch will be rejected. 6. Unit holders should note that Unit holders' details and mode of holding (single, joint, anyone or survivor) in the Transferor Scheme will be as per the existing folio number of the Transferor Scheme. Units will be allotted under the same folio number. 7. This facility will not be available for the units, which are under any Lien, Pledged or any lock-in period or other encumbrance marked on any units and units held in demat mode. 8. The application is subject to detailed scrutiny and verification. Applications, which are not complete in all respect, are liable for rejection either at the collection point itself or subsequently after detailed scrutiny / verification at the back office of the Registrar. 9. Investors are requested to clearly mention the Plan and the Option in which investment is to be made. In case of any ambiguity, the application will be liable to be rejected. In

	the absence of clear indication as to the choice of Option (Growth or Income Distribution cum Capital Withdrawal Payout), by default, the units will be allotted under the Growth Option of the Plan. 10. Cancellation/modification of submitted Auto switch mandate shall not be allowed. Further, switchover of units will be subject to availability of clear units on the effective date of switchover. 11. This facility can be availed only if the specified Auto Switch Form is filled. If normal switchover form is filled /submitted the same would be processed as per the rules applicable for normal switch request and not as an auto switch. 12. UTI AMC reserves the right to amend or withdraw this facility or change the procedures related or limit the said facility on such terms and conditions as may be decided from time to time.
Eligible Investors	**Applicants:** An application for issue of units may be made by any resident or non-resident Indian as well as non-individuals as indicated below: a. a resident individual or a NRI or a person of Indian origin residing abroad, either singly or jointly with another or upto two other individuals on joint/anyone or survivor basis. An individual may make an application in his personal capacity or in his capacity as an officer of a Government or of a Court. b. a parent, step-parent or other lawful guardian on behalf of a resident or a NRI minor. Minor (as the first and the sole holder only) through a natural guardian (i.e. father or mother) or a court appointed legal guardian. There shall not be any joint holding with minor investments. Process for Investments made in the name of a Minor through a Guardian shall be in line with the provisions no. 12.12.1 to 12.12.4 of para 12.12 under Chapter 12 of SEBI Master Circular for Mutual Funds and SEBI Circular No. SEBI/HO/IMD/POD-II/CIR/P/2023/0069 dated May 12, 2023. (i) Payment for investment by any mode shall be accepted from the bank account of the minor, parent or legal guardian of the minor, or from a joint account of the minor with parent or legal guardian. For existing folios, the AMCs shall insist upon a Change of Pay-out Bank mandate before redemption is processed. Irrespective of the source of payment for subscription, all redemption proceeds shall be credited only in the verified bank account of the minor, i.e. the account the minor may hold with the parent/ legal guardian after completing all KYC formalities. For existing folios, investors are requested to submit Form for change of Pay-out Bank mandate with the required documents before submission of redemption request. (ii) Upon the minor attaining the status of major, the minor in whose name the investment was made, shall be required to provide all the KYC details, updated bank account details including cancelled original cheque leaf of the new account. No further transactions shall be allowed till the status of the minor is changed to major. (iii) The standing instructions registered for Systematic Investment Plan (SIP), Systematic Transfer Plan (STP), Systematic Withdrawal Plan (SWP) and Transfer of Income Distribution cum capital withdrawal Plan, etc., shall be suspended when the minor attains majority, till the status is changed to major. c. an association of persons or body of individuals whether incorporated or not; d. a Hindu Undivided Family - both resident and non-resident; e. a body corporate including a company formed under the Companies Act, 1956 (replaced

	by The Companies Act, 2013 (No.18 of 2013)) or established under State or Central Law for the time being in force; f. a bank including a scheduled bank, a regional rural bank, a co-operative bank etc.; g. an eligible trust including Private Trust being irrevocable trust and created by an instrument in writing; h. a society as defined under the scheme; i. a Financial Institution; j. an Army/Navy/ Air Force/Paramilitary Fund; k. a partnership Firm; l. Foreign Portfolio Investor (FPI) as defined under Regulation 2(1)(j) of Securities and Exchange Board of India (Foreign Portfolio Investors) Regulations, 2019; m. Mutual Funds registered with SEBI; n. Scientific and Industrial Research Organisations; o. Multilateral Funding Agencies / Bodies Corporate incorporated outside India with the permission of Government of India/Reserve Bank of India; p. EPFO's/Provident Funds, Group Insurance Funds, Pension Funds, Superannuation Funds and Gratuity Funds; q. Other schemes of UTI Mutual Fund subject to the conditions and limits prescribed by SEBI Regulations; r. Such other individuals / institutions / body corporate etc., as may be decided by the AMC from time to time, so long as wherever applicable they are in conformity with SEBI Regulations; s. Any other category of investors. Subject to the Regulations, the Sponsors, the Mutual Funds managed by them, their associates and the AMC may acquire units of the scheme. The AMC shall not be entitled to charge any fees on its investments in the scheme. The fund reserves the right to include/exclude, new/existing categories of investors to invest in the scheme from time to time, subject to SEBI Regulations, if any. **Non-acceptance of subscriptions from Overseas Corporate Bodies (OCBs) in the Schemes of UTI MF** **Investments by Overseas Corporate Bodies (OCBs)** Pursuant to the Foreign Exchange Management (Withdrawal of General Permission to Overseas Corporate Bodies (OCBs)) Regulations, 2003, and the consequential amendments made in the Foreign Exchange Management (Transfer or issue of Security by a Person Resident outside India) Regulations, 2000, OCBs, cannot invest, inter alia, in Mutual Fund Schemes. **"Overseas Corporate Body" (OCB)** As per Regulation 2(xi) of the Foreign Exchange Management (Deposit) Regulations, 2000, "Overseas Corporate Body" means a company, partnership firm, society and other corporate body owned directly or indirectly to the extent of at least sixty per cent by Non-Resident Indians (hereinafter referred to as "NRIs") and includes overseas trust in which not less than sixty percent beneficial interest is held by Non-resident Indians (hereinafter referred to as "Overseas Trust") directly or indirectly but irrevocably. **For further details as to who can invest, please refer to the SID of scheme.**
Nomination	As per, sub clause 17.16 chapter 17 of SEBI Master Circular for Mutual Funds SEBI has mandated that investors subscribing to mutual fund units on or after October 1, 2022, shall have the choice of: a. Providing nomination in the format specified in Fourth schedule of SEBI (Mutual Funds) Regulations, 2026 (or) b. Opting out of nomination through a signed Declaration form.

	AMC shall provide an option to the unit holder(s) to submit either the nomination form or the declaration form for opting out of nomination in physical or online as per the choice of the unit holder(s). In case of physical option, the forms shall carry the signature as per mode of holding in the folio(s) / demat account(s) and in case of online option, the forms shall be using eSign facility recognized under Information Technology Act, 2000, or through two factor authentication (2FA) in which one of the factor shall be a One-Time Password sent to the unit holder at his/her email/ phone number registered with the AMC. As per sub clause 17.16.3 chapter 17 of SEBI Master Circular for Mutual Funds has made the nomination optional for jointly held Mutual Fund folios. Nomination form / Opting out of Nomination form may be obtained from the offices of AMC or Investor Service Centers of the RTA or distributors or downloaded from https://www.utimf.com/forms/service-request-forms
Risk mitigation process against third party cheques	**Restriction on Third Party Payments** Third party payments are not accepted in any of the schemes of UTI Mutual Fund subject to certain exceptions. "Third Party Payments" means the payment made through instruments issued from an account other than that of the beneficiary investor mentioned in the application form. However, in case of payments from a joint bank account, the first named applicant/investor has to be one of the joint holders of the bank account from which payment is made. **Bank Mandate registration as part of the new folio creation** In order to reduce the risk of frauds and operational risks and thereby protect the interests of the Unit holders/Investors from fraudulent encashment of redemption proceeds, Investors are required to submit any of the prescribed documents (along with original document for verification) in support of the bank mandate mentioned in the application form for subscriptions under a new folio, in case these details are not the same as the bank account from which the investment is made. Any application for subscription of units may be rejected if such application does not comply with the above requirements and AMC may refund the subscription amount to the bank account from where the investment was made and shall not be liable for any such rejection/refund. For further details on documents to be submitted under the process to identify third party payments etc, please refer to SAI.
Details under Foreign Account Tax Compliance Act (FATCA) / Common Reporting Standards (CRS)	**FATCA** Foreign Account Tax Compliance Act (FATCA) is a United States (US) Law aimed at prevention of tax evasion by US citizens and residents ("US Persons") through use of offshore accounts. FATCA obligates foreign financial institutions (FFIs), including Indian financial institutions to provide the US Internal Revenue Service (IRS) with information and to report on the accounts held by specified US Persons as well as passive NFFEs in which controlling interest is held by specified US person. **CRS** On similar lines as FATCA the Organization of Economic Development (OECD), along with the G20 countries, of which India is a member, has released a "Standard for Automatic Exchange of Financial Account Information in Tax Matters", generally known as CRS in order to combat the problem of offshore tax evasion and avoidance and stashing of unaccounted money abroad. **Please refer to Instructions given in the FATCA/CRS Form & Scheme application form before filling in the particulars and for further details relating to FATCA/CRS, refer to SEBI Circular No. CIR/MIRSD/2 /2015 dated 26th September 2015 and guidelines /circulars issued by SEBI from time to time.**
Facilities	1. Systematic Investment Plan (SIP)

Offered	(a) Top-up facility (b) Any Day SIP (c) Micro SIP (Non PAN exempt folios) (d) Pause facility 2. Systematic Withdrawal Plan (SWP) 3. Systematic Transfer Plan (STP) (Available as Destination Scheme and Source Scheme) 4. Flexi Systematic Transfer Plan (Flexi STP) (Available as Destination Scheme and Source Scheme) 5. Transfer of Income Distribution cum capital withdrawal Plan (Transfer of IDCW Plan) – Not available. 6. Auto Switch Facility is available under segregated portfolio(s) Please refer to SAI for further details.
Auto cancellation of SIP	Pursuant to SEBI Letter No. SEBI/HO/OW/IMD/IMD-SEC-1/P/2024/27011 dated January 03, 2024 on Uniformity in Cancellation of Systematic Investment Plan SIPs across Mutual Funds, investors are hereby requested to note the below mentioned changes with respect to Systematic Investment Plans (SIPs) in the Schemes of UTI Mutual Fund: **Sr. no** / **SIP Interval** / **No. of failed debit attempts prior to cancellation of SIP** 1 / Daily / 3 2 / Weekly, Fortnightly / 3 3 / Monthly / 3 4 / Bimonthly, Quarterly or Longer interval SIPs / 2 In case of a request placed by the investor for cancellation of SIP, the cancellation of auto-debit/SIP shall be processed within 10 calendar days of such request placed by the investor.
Commercial Transactions (viz. Purchase / Redemption / Switches) through Designated E-mail	The facility of carrying out commercial transactions through Designated E-mail in units of UTI Mutual Fund Schemes, is available for the following categories of Investors, subject to certain terms and conditions. UTI AMC dedicated its Designated E-mail server as one of the Official Points of Acceptance. Following investors may transact through designated email, who are KYC (Know Your Client) Compliant: (i) a body corporate including a company formed under the Companies Act, 1956/2013 or established under State or Central Law for the time being in force; (ii) a bank including a scheduled bank, a regional rural bank, a co-operative bank; (iii) an eligible trust; (iv) an eligible society; (v) any other institution; (vi) Army/Navy/Air Force/Paramilitary Fund and (vii) Any other category of investors, as may be decided by UTI AMC from time to time. Only Commercial transactions i.e. Purchase, Redemption and Switches shall be accepted through designated email. For further details on terms and conditions and other particulars, please refer to SAI.
Restrictions on redemption of Units	In the event of receipt of considerably large number of redemption requests or a restructuring of a Schemes' portfolio, there may be delays in the redemption of units. Further to the above, the following points relating to restrictions on redemption of units may be noted – 1. Restrictions on redemption of units may be imposed when there are circumstances leading to a systemic crisis or event that severely constricts market liquidity or the efficient functioning of markets such as:

	(i) Liquidity issues – when market at large becomes illiquid affecting almost all securities rather than any issuer specific security. (ii) Market failures, exchange closures etc. (iii) Operational issues – when exceptional circumstances are caused by force majeure, unpredictable operational problems and technical failures (e.g. a black out). 2. Restriction on redemption may be imposed for a specified period of time not exceeding 10 working days in any 90 days period. 3. Restriction will be imposed after obtaining the approvals of the Boards of AMC and the Trustees. 4. When restriction on redemption is imposed, the following procedure shall be applied – (i) No redemption requests upto INR 2 lakh shall be subject to such restriction. (ii) Where redemption requests are above INR 2 lakh, AMCs shall redeem the first INR 2 lakh without such restriction and remaining part over and above INR 2 lakh shall be subject to such restriction. **Treatment of the Folios without KYC/PAN/PEKRN (PAN exempted KYC Reference Number)** Investors are requested to note that PAN/PEKRN/KYC is mandatory for all financial transactions such as purchase /redemption/switch/systematic transactions etc. and also for non-investor initiated transactions such as dividend w.e.f. April 1, 2023. Unitholders of such non KYC compliant/non PAN/PEKRN folio's shall be able to (permitted to) lodge grievance or avail service request only after furnishing the above details. The payout of dividend will be made to such investors after updation of KYC/PAN/PEKRN details. **Requirement of Permanent Account Number (PAN) in respect of Non-PAN Exempt Folios for Redemption & Mandatory updation of Know Your Customer (KYC) requirements for processing of mutual fund transactions** All Investors (including existing folios) of Non-PAN Exempt folios of UTI Mutual Fund Schemes are required to provide the PAN of the holder's/guardian/claimant at the time of redemption, if PAN is not already registered in the folio. The requirement of PAN is applicable to all the redemptions and new Systematic Withdrawal Plan (SWP) Registrations. Investors who are submitting the PAN together with the redemption request will receive redemption payment only after the validation of PAN. Further, it is reiterated that, it is mandatory to complete the KYC requirements for all unit holders, including for all joint holders and the guardian in case of folio of a minor investor. Accordingly, all new or additional requests for financial transactions (including redemptions, switches, etc.) will be processed only if the unit holders are KYC complied or have submitted duly filled KYC application form along with necessary documents and PAN. For further details in this regard, please refer to SAI.
Acceptance of financial transactions through email in respect of non-individual Investors	In accordance with AMFI Best Practice Guidelines Circular No.135/BP/113/2023-24 dated January 31, and AMFI email dated February 27, advising all AMCs to Accept financial transactions through email in respect of non-individual investors with effect from May 31, subject to the following terms and conditions. The facility of carrying out financial transactions through Designated E-mail, in units of UTI Mutual Fund Schemes, is available for the non-individual Investors subject to the following terms and conditions. UTI AMC declares its Designated E-mail server as one of the Officials Points of Acceptance. The UTI AMC reserves the right to change/add the Designated email id(s) from time to time. **Modes of receipt of transactions** **(1) Transaction request on UTI AMC's designated email from email ID of authorised official of non-individual Investor** The investor shall provide a copy of the board resolution or an authority letter on the its letter head, granting appropriate authority to the designated officials of the investor.

	The board resolution/ authority letter shall explicitly mention the following: i. List of approved authorised officials who are authorised to transact on behalf of non-individual investors along with their designation and email IDs. ii. An undertaking that the instructions for any financial transactions sent by email by the authorised officials shall be binding upon the entity as if it were a written agreement. **(2) Transaction request digitally signed by investor, received on UTI AMC's designated email :** In case the document is executed electronically with a valid Digital Signature Certificate (DSC) or through Aadhaar based e-signature by the authorised officials of investor, the same shall be considered as valid and acceptable, and shall be binding on the non-individual investor even if the transaction request is not received from the registered email id. of the authorised officials. However, in such cases, the domain name of the email ID should be from the same organisation's official domain name. **(3) Scanned transaction request with wet signature of investor received on UTI AMC's designated email :** In addition to acceptance of financial transaction via email, scanned copy of duly signed transaction form/request letter bearing wet signatures of the authorised signatories of the investor, received from some other official / employee of the non individual investor will be accepted, and shall be binding on the non-individual investor provided – i. The email is also CC'd (copied) to the registered email ID of the authorised official / signatory of the non-individual unitholder, and ii. the domain name of the email ID of the sender of the email is from the same organisation's official domain name. **(4) Scanned transaction request received on UTI AMC's designated email from email ID of MFD or third party :** Scanned copies of signed transaction form/request letter bearing wet signatures of the authorised signatories of the entity, received from the registered mutual fund distributor of the entity or a third party duly authorised by the non-individual investor will be accepted subject to fulfillment of the following requirements: i. Authorisation letter from the non-individual unitholder authorising the MFD/person to send the scanned copies of signed transaction form/request letter on behalf the non-individual investor. ii. In such cases, the non-individual unitholders registered email ID shall also be copied in the email sent by the MFD/person sending the scanned copies of the duly signed transaction form/request letter. **Following Terms and conditions are applicable for above mode of receipt of financial transactions:** a) Investor agrees that based on the scanned image of application for purchase, redemption or switches, the transaction will be processed subject to receipt of the subscription amount in case of purchase and subject to signature verification in case of redemptions and switches. The receipt of such scanned image by UTI AMC at designated email id shall be deemed sufficient for effecting the transaction without the receipt of original application. Investor further agrees to retain records of such transactions in line with the applicable laws / regulations. b) All transaction requests will be deemed to be valid, where applications, transaction slips, forms, supporting documents are received at the designated email id. c) The timestamp will get generated and affixed on the transaction request once it is received on the server/system of the UTI AMC. d) This facility will be provided subject to provisions of cut off timing for applicability of NAV and time stamping requirements, as amended by Securities and Exchange Board of India (SEBI) from time to time and any other applicable laws, rules and regulations as may be enforced from time to time. For the purpose of determining the applicable NAV in accordance with SEBI (Mutual Funds) Regulations, 2026, the system

generated date and time on the transmitted email received at server / system of the UTI AMC and availability of funds for utilisation for the same shall be considered.

e) Any change in the registered email id/contact details of non-individual investor shall be accepted only from the designated officials authorised to notify such changes vide board resolution/authority letter. Further, such change request shall be submitted through physical request letter (or a scanned copy thereof with wet signature of the designated authorised official(s)) only.

f) No change in /addition to the bank mandate shall be allowed via email. Change in bank details or addition of bank account of the investor shall be permitted only via the prescribed service request form duly signed by the entity's authorised signatories with wet signature of the designated authorised officials.

g) Any change in the registered email address/ contact details of the investor shall be accepted only through a physical letter (including scan copy thereof) with wet signature of the designated authorised officials of the entity, duly supported by copy of the board resolution/authority letter on the entity's letter head.

h) Further, in case the document is executed electronically with a valid DSC or through Aadhaar based e-signatures of the authorised officials, shall be considered valid, and the same shall be binding on the non-individual investor even if the same is not received from the registered email id of authorised officials. However, the domain name of the email ID through which such email is received should be the same as the non-individual investor's official domain name.

i) The UTI AMC shall act in good faith and shall take necessary steps in connection with the email requests received regardless of the value involved and the same shall be binding on the investor. The UTI Mutual Fund (UTI MF), UTI Trustee Company Pvt. Ltd. (Trustee) or UTI AMC will not be held responsible/liable for any loss, if any, suffered by the investor or any other person for processing such transactions.

j) The investor acknowledges that it is in the nature of telecommunication services that transmitted emails may not be properly received or emails may not be received or may be inadvertently read or may be erroneous or made known to unauthorised persons. Investor agrees that all the risks, errors or breaches shall be borne by the investor and the UTI MF, Trustee, UTI AMC shall not be responsible/liable for any claims, liability, loss, damage, cost or expenses arising from such risks, errors or breach of confidentiality. However UTI MF will be taking necessary safeguard measures to ensure security of email communications.

k) At the request of the investor, UTI AMC is hereby requested and authorised, but is not obliged, to process the transactions as per email submissions received from time to time from investors and otherwise to rely upon and act in accordance with email Submissions which is signed, or is believed to have been signed by any person authorised by the documents governing the arrangement between the UTI AMC and the Investor.

l) It is further mutually agreed that if any other permission is required under the provisions of law for processing such requests / instructions, the investor shall be solely liable and responsible for any failure to comply with such provisions of laws, rules and regulations. The investor will keep the UTI MF, Trustee, UTI AMC fully absolved and indemnified with respect to any violation of such laws, rules and regulations and consequences thereafter in case of such violation mentioned hereinabove.

m) It is agreed by the parties that the UTI AMC need not confirm (whether orally, in writing or otherwise) any email Submission or verify the identity of the person making or giving or purporting to make or give any email Submission.

n) Investor agrees that security procedures adopted by UTI AMC may include signature verification, telephone call backs or a combination of the same, that may be recorded and investor consents to such recording and agrees to co-operate with the UTI AMC to enable confirmation of such electronic transactions. However, the UTI AMC shall be under no duty to prescribe or adopt any procedures for the purpose of such confirmation(s) or verification and any such procedure prescribed or adopted by UTI AMC shall not impose upon the UTI AMC any obligation to adopt or comply with the same in any or every instance.

o) The entity availing the facility for submitting the financial transactions via email shall retain records of such transactions in line with the applicable laws/ regulations.

Dematerialisation	(a) Pursuant to the provision no.13.7.2 of para 13.7 under Chapter 13 of SEBI Master Circular for Mutual Funds, the unit holders of the scheme shall be provided an option to hold units in demat form in addition to physical form. (b) The Unit holders would have an option to hold the Units in dematerialized form. Accordingly, the Units of the Scheme will be available in dematerialized (electronic) form. The Applicant intending to hold Units in dematerialized form will be required to have a beneficiary account with a Depository Participant (DP) of the National Securities Depositories Limited (NSDL)/ Central Depository Services Limited (CDSL) and will be required to mention in the application form DP's Name, DP ID No. and Beneficiary Account No. with the DP at the time of purchasing Units of the Scheme. Further, investors also have an option to convert their physical holdings into the dematerialized mode at a later date. Each Option held in the dematerialized form shall be identified on the basis of an International Securities Identification Number (ISIN) allotted by NSDL and CDSL. The ISIN No. details of the respective option can be obtained from your DP or you can access the website link www.nsdl.co.in or www.cdslindia.com. The holding of units in the dematerialized mode would be subject to the guidelines/ procedural requirements as laid by the Depositories viz. NSDL/CDSL from time to time.
Exit load on death of an unitholder	In the case of the death of an unitholder, no exit load (if applicable) will be charged for redemption of units by the claimant under certain circumstances and subject to fulfilling of prescribed procedural requirements. For further details regarding settlement of death claim refer to SAI.
Applicability of Stamp duty on Mutual fund transactions	It is informed to all the Investors/Unit Holders of all the Scheme(s) of the UTI Mutual Fund that, pursuant to Notification No. S.O. 4419(E) dated December 10, 2019 issued by Department of Revenue, Ministry of Finance, Government of India, read with Part I of Chapter IV of Notification dated February 21, 2019 issued by Legislative Department, Ministry of Law and Justice, Government of India on the Finance Act, 2019 and as per the provisions 11.1.1 of para 11.1 under Chapter 11 of SEBI Master Circular for Mutual Funds a stamp duty at the prescribed rate (at present @ 0.005%) of transaction value (amount for which units are allotted excluding any other deduction) would be levied on Subscriptions (including lumpsum and through systematic investments such as Systematic Investment Plans, systematic transfer Plan), Switch-ins etc. for units both in demat or physical mode. Accordingly, pursuant to levy of stamp duty, the number of units allotted to all applicable mutual fund transactions would be reduced to the extent of stamp duty amount. Please refer to SAI for further details.

DISCLAIMER FOR INDICES

BSE INDICES LIMITED DISCLAIMERS FOR BSE INDIA SECTOR LEADERS INDEX

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UTI BSE INDIA SECTOR LEADERS INDEX FUND

[An open ended scheme replicating/tracking BSE India Sector Leaders Total Return Index (TRI)]

[illegible]

Sr. No. 2026 [illegible]

Registrar Sr. No.

The Product Is Suitable For Investors Who Are Seeking*	Scheme Riskometer: UTI BSE India Sector Leaders Index Fund	Benchmark Riskometer: BSE India Sector Leaders TRI
• Returns that are commensurate (before fees and expenses) with the performance of the BSE India Sector Leaders (TRI), over long term, subject to tracking error. • Investment in equity securities covered by the BSE India Sector Leaders (TRI).		

Product labelling assigned during the New Fund Offer (NFO) is based on internal assessment of the scheme characteristics or model portfolio and the same may vary post NFO when the actual investments are made.

Based on the index composition as on July 31, 2026.

* Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

New Fund Offer Opens on	:	Monday, August 31, 2026
New Fund Offer Closes on	:	Friday, September [illegible], 2026
Scheme Reopens on	:	Tuesday, September [illegible], 2026

Offer of Units of Rs. 10/- each during the New Fund Offer and Continuous Offer of Units at NAV based prices.
New Fund Offer shall remain open for subscription for a minimum period of 3 working days but will not be kept open for more than 15 calendar days.

(Please read instructions carefully before filling the form and use BLOCK LETTERS only) [illegible]

[illegible]

ARN/RIA Code*	Name of Mutual Fund Distributor / [illegible]	Sub Broker ARN Code	Sub Code / [illegible]	EUIN Code	RIA No.*	UTI [illegible]
ARN-		ARN-	[illegible]			

* [illegible]

☐ [illegible]

Signature of 1st Applicant / Guardian — Signature of 2nd Applicant — Signature of 3rd Applicant

Existing Unit Holder Information: [illegible]

A. Mode of Holding: ☐ Single ☐ Joint ☐ Anyone or Survivor (Default - Joint Holding)

B. APPLICANT'S PERSONAL DETAILS: ☐ Mr. ☐ Ms. ☐ Mrs. ☐ M/s. [illegible]

Name of First Applicant (Name as per the PAN card)

Date of Birth / Incorporation*

Status of First Sole Applicant (Please tick (✓)): ☐ Individual ☐ Non-Individual

[illegible]

NAME OF FATHER (OR) MOTHER / GUARDIAN (IN CASE OF MINOR) (OR) CONTACT PERSON FOR INSTITUTIONAL APPLICANTS ☐ Mr. ☐ Ms. ☐ Mrs. (Name as per the PAN card)

[illegible]

[illegible]

Enclosed ☐ [illegible]

CKYC ID: Enclosed ☐ [illegible]

First Applicant's Address: [illegible]

Village/Flat/Bldg./Plot*

Street/Road/Area/Post

City/Town* State Pin*

OVERSEAS ADDRESS [illegible]

City

State Country* Zip/Pin*

Name of 2nd Applicant Mr. Ms. M/s. (Name as per the PAN card) Date of Birth of 2nd Applicant*

PAN/PEKRN OF 2nd APPLICANT Enclosed PAN/PEKRN CARD'S PROOF COPY

CKYC ID Enclosed

Name of 3rd Applicant Mr. Ms. M/s. (Name as per the PAN card) Date of Birth of 3rd Applicant*

PAN/PEKRN OF 3rd APPLICANT Enclosed PAN/PEKRN CARD'S PROOF COPY

CKYC ID Enclosed

CONTACT DETAILS OF APPLICANTS

First Applicant Details	Mobile No. +91	
	International Mobile No.	
	Email	
	Alternate Email	

For Email ID		For Mobile Number	
Name of the family member		Name of the family member	
Relationship		Relationship	
PAN		PAN	
Folio number		Folio number	

Bank Name		Branch	
Address		MICR Code	
	City Pin		
Account type (please ✓) Savings Current NRO NRE		IFS Code	
Account No.			

Cheque/DD/NEFT/RTGS Ref. No. Cash Account type (please ✓) Savings Current NRE NRO OD

Account No.

Date		Amt. of Investment (₹)	
Bank		DD Charges if any (₹)	
Branch		Net amount paid (₹)	
Amt. in words			

Scheme UTI BSE INDIA SECTOR LEADERS INDEX FUND Plan Regular Plan Direct Plan Option Growth

OR

Scheme Plan Regular Plan Direct Plan Option Growth

SWITCH: I/We would like to Switch All Units (or) Partial Units No. of Units (or) Amount in figure ₹

Amount (in words) ₹

To Scheme UTI BSE INDIA SECTOR LEADERS INDEX FUND Regular Plan Direct Plan Growth option On NFO Closure Date

LISTING MODE OPTION ☐ Physical Mode ☐ Demat Mode (If Demat account details are provided below, units will be allotted by default in Electronic Depository)

DEMAT Account Details - Please ensure that the sequence of names as mentioned in the application form matches with that of the account held with any one of the Depository Participant. Demat Account details are compulsory if demat mode is opted above.

National Securities Depository Limited		Central Depository Services (India) Limited	
Depository Name		Depository Name	
DP ID No.		Target ID No.	
Beneficiary Account No.			

Enclosures: ☐ Client Master List (CML) ☐ Transaction cum Holding Statement ☐ Delivery Instruction Slip (DIS)

GENERAL INFORMATION (Please (✓) whichever applicable)

Tax Status:

☐ Resident Individual	☐ Pension and Retirement Fund	☐ Government Body	☐ NGO
☐ Resident Minor (through Guardian)	☐ Financial Institutions	☐ Society*	☐ LLP
☐ NRI (Repatriable)	☐ Public Limited Company	☐ Trust*	☐ Unlisted Not for Profit** Company
☐ NRI (Non-Repatriable)	☐ Private Limited Company	☐ NPS Trust	☐ Foreign Nationals
☐ NRI - Minor (Repatriable)	☐ Body Corporate	☐ Fund of Fund	☐ PIO
☐ NRI - Minor (Non-Repatriable)	☐ Partnership Firm	☐ Gratuity Fund	☐ NPO* ____ (Please specify)
☐ Sole Proprietor	☐ FII / FPI	☐ AOP	☐ Others
☐ HUF	☐ Bank	☐ BOI	____ (Please specify)

** Not for Profit Company as defined under Companies Act [illegible]. Please attach Non-Profit Organisation (NPO) Declaration Form.

[illegible]

Note for Non-Individual Investors: [illegible] (Refer instruction [illegible])

Gender: ☐ Male ☐ Female ☐ Other

Marital Status: ☐ Unmarried ☐ Married

Spouse's Name: ____

Occupation:

☐ Professional	☐ Business	☐ Public Sector Service	☐ Housewife
☐ Government Service	☐ Agriculturist	☐ Student	☐ Forex Dealer
☐ Private Sector Service	☐ Retired	☐ Dealer	☐ Others (Please specify) ____

OTHER DETAILS (MANDATORY)

FOR INDIVIDUALS ONLY

1st Applicant: (A) Gross Annual Income Details. Please tick (✓)

☐ Below 1 Lac ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ >25 Lacs - 1 Crore ☐ >1 Crore

[OR]

Net worth in ₹ ____ as on (date) ☐☐/☐☐/☐☐☐☐

(B) Please tick if applicable: ☐ Politically Exposed Person (PEP) ☐ Related to a Politically Exposed Person (RPEP) (For definition of PEP, please refer instruction [illegible])

(C) Any other information ____

2nd Applicant: (A) Gross Annual Income Details.

☐ Below 1 Lac ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ >25 Lacs - 1 Crore ☐ >1 Crore

[OR]

Net worth in ₹ ____ as on (date) ☐☐/☐☐/☐☐☐☐

(B) Please tick if applicable: ☐ Politically Exposed Person (PEP) ☐ Related to a Politically Exposed Person (RPEP)

(C) Any other information ____

3rd Applicant: (A) Gross Annual Income Details.

☐ Below 1 Lac ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ >25 Lacs - 1 Crore ☐ >1 Crore

[OR]

Net worth in ₹ ____ as on (date) ☐☐/☐☐/☐☐☐☐

(B) Please tick if applicable: ☐ Politically Exposed Person (PEP) ☐ Related to a Politically Exposed Person (RPEP)

(C) Any other information ____

FOR NON INDIVIDUALS ONLY

(A) Gross Annual Income Details.

☐ Below 1 Lac ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ >25 Lacs - 1 Crore ☐ >1 Crore

[OR]

Net worth in ₹ ____ as on (date) ☐☐/☐☐/☐☐☐☐

(B) Is the entity involved in providing any of the following services:

- Foreign Exchange / Money Changer Services ☐ Yes ☐ No
- Money Lending / Pawning ☐ Yes ☐ No
- Gaming / Gambling / Lottery Services (e.g. casinos, betting syndicates) ☐ Yes ☐ No

(C) Any other information ____

DETAILS UNDER FATCA / FOREIGN TAX COMPLIANCE ACT AND CRS (COMMON REPORTING STANDARD) (Refer instruction [illegible])

Information to be provided by all Applicants in the same sequence of Names as given in this Application Form

Are you a tax resident of any country other than India?

First Applicant ☐ Yes ☐ No Second Applicant ☐ Yes ☐ No Third Applicant ☐ Yes ☐ No

If Yes, please fill in the Particulars in the prescribed Form of FATCA / CRS for each applicant and attach it with the Application Form.

UTI Mutual Fund

ACKNOWLEDGEMENT

(To be filled in by the Applicant)

UTI ESP [illegible]

Sr. No. [illegible]

(Subject to realisation)

Received from Mr./Ms./M/s	
An application under	(scheme name)
along with Cheque/DD/NEFT/RTGS Ref. No./Unique Serial No. (For Cash)	dated
Drawn on (Bank)	
for ₹ (in figures)	

Stamp of UTI CSC Officer/ Authorised Collection Centre

*Cheques and drafts are subject to realisation.

[illegible]

Name	
Address	
Relationship with the applicant (optional)	Mobile
Email	

NOMINATION DETAILS [illegible]

☐ [illegible]

	Nominee 1	Nominee 2	Nominee 3
Name of Nominee			
Name of the Guardian			
Percentage of Allocation			
Relationship with Nominee			
[illegible]			
Proof of Identity	☐ PAN ☐ Aadhaar ☐ Others	☐ PAN ☐ Aadhaar ☐ Others	☐ PAN ☐ Aadhaar ☐ Others
Identification Number			
Mobile Number			
Email ID			
Address			
Signature of Nominee / Guardian			
[illegible]			

[illegible]

☐ [illegible] ☐ [illegible]

☐ [illegible]

SIGNATURE(S) OF HOLDER(S)

Name(s) of Holder(s)	Signature(s)
Sole / First Holder (Mr./Ms.)	Signature
Second Holder (Mr./Ms.)	Signature
Third Holder (Mr./Ms.)	Signature

[illegible]

Witness Name

Witness Address

Witness Signature

Witness Name

Witness Address

Witness Signature

DECLARATION AND SIGNATURES OF APPLICANTS

[illegible]

CONSENT FOR PROCESSING PERSONAL DATA

[illegible] ☐

Signature of 1st Applicant / Guardian / POA / Name of 1st Authorised Signatory	Signature of 2nd Applicant / POA / Name of 2nd Authorised Signatory	Signature of 3rd Applicant / POA / Name of 3rd Authorised Signatory

Designation ______ Designation ______ Designation ______

Power of Attorney (POA) Registration No. ______ [illegible]

Notes :

1. If the application is incomplete and any other requirement is not fulfilled, the application is liable to be rejected.
2. Consolidated Account Statement (eCAS) will be sent within [illegible] days of the following month of the transaction.
3. Please ensure that all KYC Compliance Proof and PAN details are given, failing which your application will be rejected. [illegible]
4. All communication relating to issue of Statement of Account, Change in name, Address or Bank particulars, Nomination, Redemption, Death Claims etc., may please be addressed to the Registrar :

   [illegible]

GENERAL INSTRUCTIONS FOR FILLING THE APPLICATION FORM

PLEASE FILL IN ALL COLUMNS IN CAPITAL LETTERS ONLY

[Fields Marked with (*) must be Mandatorily filled in]

[illegible]

CHECKLIST

Please ensure that:

[illegible]

UTI-SIP

UTI SMaRT Form

Date

Utility Code

Create Modify Cancel

Sponsor Bank Code

UTI MUTUAL FUND

To debit (tick ✓) SB / CA / CC / SB-NRE / SB-NRO / OTHER

with Bank

IFSC / MICR

an amount of Rupees

Debit Type: Fixed Amount / Maximum Amount

Frequency: Daily / Weekly / Fortnightly / Monthly / Quarterly / Half Yearly / Annually / As and When presented

From

To

Mobile No.

1. 2. 3.

UTI SMaRT SIP Form ™

Registration of New SIP

Renewal of Existing SIP

Micro SIP

Change in Existing Bank Details

ARN / RIA	EUIN	Sub ARN Code	Sub Code	RO Code	UTI MF No.
ARN-		ARN-			

APPLICANT DETAILS

Existing Mandate Details

Bank Name

SIP DETAILS

Scheme Name / Plan / Option	SIP Date	Instalment Amount	Frequency	SIP Period	SIP Top Up
			Daily / Weekly / Monthly / Quarterly		Half Yearly / Yearly
			Daily / Weekly / Monthly / Quarterly		Half Yearly / Yearly
			Daily / Weekly / Monthly / Quarterly		Half Yearly / Yearly
	Total	₹			

Retirement Corpus / Child Education / Child Marriage / Dream Car / Dream House / Marriage / Holiday

Target Amount

1st Unit Holder / Guardian

2nd Unit Holder

3rd Unit Holder

Haq, ek behtar zindagi ka.

Instructions Cum Terms and Conditions For SIP & Micro SIP

1. [illegible]
2. [illegible]
3. [illegible]
4. [illegible]
5. [illegible]
6. [illegible]
7. [illegible]
8. [illegible]
9. [illegible]
10. [illegible]
11. [illegible]
12. [illegible]
13. [illegible]
14. [illegible]
15. [illegible]
16. [illegible]
17. [illegible]
18. [illegible]
19. [illegible]
20. [illegible]
21. [illegible]

ACKNOWLEDGEMENT SLIP (UTI SMART SIP Form)

Application No./Investor's Folio No. | | | | | | | | | | | Investor Name

Stamp & Signature

CKYC & KRA KYC Form

Know Your Client
Application Form (For Individuals only)
(Please fill the form in English and in BLOCK Letters)
Fields marked with '*' are mandatory fields

Application Type*: ☐ New ☐ Update KYC Number*

KYC Type*: ☐ Normal (PAN mandatory) ☐ PAN Exempt Investors (refer instruction)

1. Identity Details (Please refer instruction A at the end)

PAN: Please enclose a duly attested copy of your PAN Card

	Prefix	First Name	Middle Name	Last Name
Name* (same as ID proof)				
Maiden Name (If any*)				
Father / Spouse Name*				
Mother Name*				

Date of Birth: __ - __ - ____

Photo

Gender*: ☐ M- Male ☐ F- Female ☐ T- Transgender

Marital Status*: ☐ Married ☐ Unmarried ☐ Others

Citizenship*: ☐ IN - Indian ☐ Others – Country ________ Country Code

Residential Status*: ☐ Resident Individual ☐ Non Resident Indian ☐ Foreign National ☐ Person of Indian Origin

Occupation Type*: ☐ S-Service ☐ Private Sector ☐ Public Sector ☐ Government Sector ☐ O-Others________ ☐ Professional ☐ Self Employed ☐ Retired ☐ Housewife ☐ Student ☐ B-Business ☐ X-Not Categorised ________

2. Proof of Identity (PoI)* (for PAN exempt Investor / PAN card copy not provided) (Please refer instruction C & K at the end)

(Certified copy of any one of the following Proof of Identity (PoI) needs to be submitted)

- ☐ A- Passport Number — Passport Expiry Date __ - __ - ____
- ☐ B- Voter ID Card
- ☐ C- Driving Licence
- ☐ E- Aadhaar Card
- ☐ F- NREGA Job Card
- ☐ Z- Others (any document notified by the central government) — Identification Number

3. Proof of Address (PoA)*

3.1. Current / Permanent / Overseas Address Details (Please see instruction D at the end)

Address
Line 1*
Line 2
Line 3 — City / Town / Village*
District* — Zip / Post Code* — State/U.T. Code (as per Indian Motor Vehicle Act, 1988)
State/U.T.* — Country* — Country Code (as per ISO 3166)

Address Type*: ☐ Residential / Business ☐ Residential ☐ Business ☐ Registered Office ☐ Unspecified

(Certified copy of any one of the following Proof of Address (PoA) needs to be submitted)

- ☐ Passport Number — Passport Expiry Date __ - __ - ____
- ☐ Voter ID Card
- ☐ Driving Licence
- ☐ Aadhaar Card
- ☐ NREGA Job Card
- ☐ Others (any document notified by the central government) — Identification Number

3.2 Correspondence / Local Address Details* (Please see instruction E at the end)

Same as Current / Permanent / Overseas Address details

Line 1*
Line 2
Line 3 — City / Town / Village*
District* — Zip / Post Code* — State/U.T. Code (as per Indian Motor Vehicle Act, 1988)
State/U.T.* — Country* — Country Code (as per ISO 3166)

4. Contact Details (All communications will be sent on provided Mobile no. / Email-ID) (Please refer instruction F at the end)

Email ID

Mobile - Tel. (Off) - Tel. (Res) -

5. FATCA/CRS Information Residence for Tax Purposes in Jurisdiction(s) Outside India (Please refer instruction E at the end)

Are you a tax resident of any country other than India? If No, please tick here ☐ If Yes, ☐ please fill in the below details

Country of Jurisdiction of Residence* Country Code of Jurisdiction of Residence as per ISO 3166

Tax Identification Number or equivalent (if issued by jurisdiction)*

Place / City of Birth* Country of Birth* Country Code as per ISO 3166

Line 1*

Line 2

Line 3 City / Town / Village*

District* Zip / Post Code* State/U.T. Code as per Indian Motor Vehicle Act, 1988

State/U.T.* Country* Country Code as per ISO 3166

6. Details of Related Person (Optional) (please refer instruction C at the end) (In case of additional related persons, please fill Annexure B*)

☐ Related Person ☐ Deletion of Related Person KYC Number of Related Person (if available*)

Related Person Type* ☐ Guardian of Minor ☐ Assignee ☐ Authorized Representative

Prefix First Name Middle Name Last Name

Name*

(If KYC number and name are provided, below details of section 6 are optional)

☐ Proof of Identity (PoI) of Related Person* (Please see instruction (H) at the end)

Certified copy of any one of the following Proof of Identity (PoI) needs to be submitted

☐ A- Passport Number Passport Expiry Date - -

☐ B- Voter ID Card

☐ C- PAN Card Driving Licence Expiry Date - -

☐ D- Driving Licence

☐ E- Aadhaar Card

☐ F- NREGA Job Card

☐ Z- Others (any document notified by the central government) Identification Number

7. Remarks (If any)

8. Applicant Declaration

- I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it. I hereby declare that I am not making this application for the purpose of contravention of any Act, Rules, Regulations or any statute of legislation or any notifications/directions issued by any governmental or statutory authority from time to time.
- I hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address.

Signature / Thumb Impression

Date - - Place

Signature / Thumb Impression of Applicant

9. Attestation / For Office Use Only

Documents Received ☐ Certified Copies

KYC VERIFICATION CARRIED OUT BY (Refer Instruction)

Date D D - M M - Y Y Y Y

Emp. Name

Emp. Code

Emp. Designation

[Employee Signature]

INSTITUTION DETAILS

Name

Code

Emp. Branch

[Institution Stamp]

IN-PERSON VERIFICATION (IPV) CARRIED OUT BY (Refer Instruction)

Date D D - M M - Y Y Y Y

Emp. Name

Emp. Code

Emp. Designation

[Employee Signature]

INSTITUTION DETAILS

Name

Code

Emp. Branch

[Institution Stamp]

[illegible]

1. [illegible]
2. [illegible]
3. [illegible]
4. [illegible]
5. [illegible]
6. [illegible]
7. [illegible]
8. [illegible]
9. [illegible]
10. [illegible]

A. [illegible]
   1. [illegible]
   2. [illegible]

B. [illegible]
   1. [illegible]

C. [illegible]
   1. [illegible]
   2. [illegible]
   3. [illegible]
   4. [illegible]

D. [illegible]
   1. [illegible]
   2. [illegible]
   3. [illegible]

E. [illegible]
   1. [illegible]
   2. [illegible]
   3. [illegible]

F. [illegible]
   1. [illegible]
   2. [illegible]

G. [illegible]
   1. [illegible]

H. [illegible]
   1. [illegible]

I. [illegible]
   1. [illegible]
   2. [illegible]
   3. [illegible]
   4. [illegible]
   5. [illegible]
   6. [illegible]

J. [illegible]
   1. [illegible]
   2. [illegible]
   3. [illegible]
   4. [illegible]
   5. [illegible]

K. [illegible]
   1. [illegible]
   2. [illegible]
   3. [illegible]
   4. [illegible]

List of Two-Digit state / U.T Codes as per Indian Motor Vehicle Act, 1988

State/UT	Code	State/UT	Code	State/UT	Code
Andaman & Nicobar	AN	Himachal Pradesh	HP	Puducherry	PY
Andhra Pradesh	AP	Jammu & Kashmir	JK	Punjab	PB
Arunachal Pradesh	AR	Jharkhand	JH	Rajasthan	RJ
Assam	AS	Karnataka	KA	Sikkim	SK
Bihar	BR	Kerala	KL	Tamil Nadu	TN
Chandigarh	CH	Lakshadweep	LD	Telangana	TS
Chhattisgarh	CG	Madhya Pradesh	MP	Tripura	TR
Dadra and Nagar Haveli	DN	Maharashtra	MH	Uttar Pradesh	UP
Daman & Diu	DD	Manipur	MN	Uttarakhand	UK
Delhi	DL	Meghalaya	ML	West Bengal	WB
Goa	GA	Mizoram	MZ	Other	[illegible]
Gujarat	GJ	Nagaland	NL		
Haryana	HR	Odisha	OR		

List of ISO 3166 Two-Digit Country Code

[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]
[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]

Know Your Client (KYC)
Application Form (For Non-Individuals Only)

Application No.:

Please fill in ENGLISH and in BLOCK LETTERS

A. Identity Details (please see guidelines overleaf)

1. **Name of Applicant** (Please write complete name as per Certificate of Incorporation / Registration, leaving one box blank between 2 words. Please do not abbreviate the Name)

2. **Date of Incorporation** D D / M M / Y Y Y Y **Place of Incorporation**

3. **Registration No. (e.g. CIN)** **Date of commencement of business** D D / M M / Y Y Y Y

4. **Status** Please tick (✓) ☐ Private Ltd. Co. ☐ Public Ltd. Co. ☐ Body Corporate ☐ Partnership ☐ Trust / Charities / NGOs ☐ FI ☐ FII ☐ HUF ☐ AOP ☐ Bank ☐ Government Body ☐ Non-Government Organisation ☐ Defence Establishment ☐ Body of Individuals ☐ Society ☐ LLP
Others (Please specify) ____

5. **Permanent Account Number (PAN) (Mandatory)** Please enclose a duly attested copy of your PAN Card

B. Address Details (please see guidelines overleaf)

1. **Address for Correspondence**

City / Town / Village Postal Code
State Country

2. **Contact Details**
Tel. (Off.) Tel. (Res.)
Mobile Fax
E-Mail ID

3. **Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid documents & tick (✓) against the document attached.**
☐ *Latest Telephone Bill (only Land Line) ☐ *Latest Electricity Bill ☐ *Latest Bank Account Statement ☐ Registered Lease / Sale Agreement of Office Premises
☐ Any other proof of address document (as listed overleaf) (Please specify) ____
*Not more than 3 Months old. **Validity/Expiry date of proof of address submitted** D D / M M / Y Y Y Y

4. **Registered Address (if different from above)**

City / Town / Village Postal Code
State Country

5. **Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid documents & tick (✓) against the document attached.**
☐ *Latest Telephone Bill (only Land Line) ☐ *Latest Electricity Bill ☐ *Latest Bank Account Statement ☐ Registered Lease / Sale Agreement of Office Premises
☐ Any other proof of address document (as listed overleaf) (Please specify) ____
*Not more than 3 Months old. **Validity/Expiry date of proof of address submitted** D D / M M / Y Y Y Y

C. Other Details (please see guidelines overleaf)

1. **Name, PAN, DIN/Aadhaar Number, residential address and photographs of Promoters/Partners/Karta/Trustees/whole time directors**
(Please use the Annexure to fill in the details)

2. **Any other information** ____

DECLARATION

I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/We are aware that I/We may be held liable for it.

Place: ____

Date: ____

NAME & SIGNATURE(S) OF AUTHORISED PERSON(S)

FOR OFFICE USE ONLY

AMC/Intermediary name/code

☐ (Originals Verified) Self Certified Document copies received

☐ (Attested) True copies of documents received

Seal/Stamp of the intermediary should contain
Staff Name
Designation
Name of the Organisation
Signature
Date

INSTRUCTIONS / CHECK LIST FOR FILLING KYC FORM

A. IMPORTANT POINTS:

1. Self attested copy of PAN card is mandatory for all clients.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO Card/OCI Card and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity (POI): - List of documents admissible as Proof of Identity:

1. PAN card with photograph. This is a mandatory requirement for all applicants except those who are specifically exempt from obtaining PAN (listed in Section D).
2. Unique Identification Number (UID) (Aadhaar)/ Passport/ Voter ID card/ Driving license.
3. Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA): - List of documents admissible as Proof of Address: (*Documents having an expiry date should be valid on the date of submission.)

1. Passport/ Voters Identity Card/ Ration Card/ Registered Lease or Sale Agreement of Residence/ Driving License/ Flat Maintenance bill/ Insurance Copy.
2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.
3. Bank Account Statement/Passbook -- Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-Operative Bank/Multinational Foreign Banks/Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly/Parliament/Documents issued by any Govt. or Statutory Authority.
6. Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
7. For FII/sub account, Power of Attorney given by FII/sub-account to the Custodians (which are duly notarized and/or apostiled or consularised) that gives the registered address should be taken.
8. The proof of address in the name of the spouse may be accepted.

D. Exemptions/clarifications to PAN

(*Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
4. SIP of Mutual Funds upto Rs 50,000/- p.a.
5. In case of institutional clients, namely, FIIs, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/ Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy /Consulate General in the country where the client resides are permitted to attest the documents.

F. In case of Non-Individuals, additional documents to be obtained from non-individuals, over & above the POI & POA, as mentioned below:

Types of entity	Documentary requirements
Corporate	• Copy of the balance sheets for the last 2 financial years (to be submitted every year) • Copy of latest share holding pattern including list of all those holding control, either directly or indirectly, in the company in terms of SEBI takeover Regulations, duly certified by the company secretary/Whole time director/MD (to be submitted every year). • Photograph, POI, POA, PAN and DIN numbers of whole time directors/two directors in charge of day to day operations. • Photograph, POI, POA, PAN of individual promoters holding control - either directly or indirectly. • Copies of the Memorandum and Articles of Association and certificate of incorporation. • Copy of the Board Resolution for investment in securities market. • Authorised signatories list with specimen signatures.
Partnership Firm	• Copy of the balance sheets for the last 2 financial years (to be submitted every year). • Certificate of registration (for registered partnership firms only). • Copy of partnership deed. • Authorised signatories list with specimen signatures. • Photograph, POI, POA, PAN of Partners.
Trust	• Copy of the balance sheets for the last 2 financial years (to be submitted every year). • Certificate of registration (for registered trust only). Copy of Trust deed. • List of trustees certified by managing trustees/CA. • Photograph, POI, POA, PAN of Trustees.
HUF	• PAN of HUF. • Deed of declaration of HUF/ List of coparceners. • Bank pass-book/bank statement in the name of HUF. • Photograph, POI, POA, PAN of Karta.
Unincorporated Association or a Body of Individuals.	• Proof of Existence/Constitution document. • Resolution of the managing body & Power of Attorney granted to transact business on its behalf. • Authorized signatories list with specimen signatures.
Banks/Institutional Investors	• Copy of the constitution/registration or annual report/balance sheet for the last 2 financial years. • Authorized signatories list with specimen signatures.
Foreign Institutional Investors (FII)	• Copy of SEBI registration certificate. • Authorized signatories list with specimen signatures.
Army/Government Bodies	• Self-certification on letterhead. • Authorized signatories list with specimen signatures.
Registered Society	• Copy of Registration Certificate under Societies Registration Act. • List of Managing Committee members. • Committee resolution for persons authorised to act as authorised signatories with specimen signatures. • True copy of Society Rules and Bye Laws certified by the Chairman/Secretary.

Please Submit the KYC Documents on A4 Size Paper Only

Details of Promoters/ Partners/ Karta / Trustees and whole time directors forming a part of Know Your Client (KYC) Application Form for Non-Individuals

Name of Applicant ______________________ PAN of the Applicant

Sr. No.	PAN	Name	DIN (for Directors) / Aadhaar Number (for Others)	Residential / Registered Address	Relationship with Applicant (i.e. promoters, whole time directors etc.)	Photograph

Name & Signature of the Authorised Signatory(ies) Date D D / M M / Y Y Y Y

Place Seal [illegible]

FATCA & CRS
Annexure for Individual Accounts
(Including Sole Proprietor) (Refer to instructions)

(Please consult your professional tax advisor for further guidance on your tax residency, if required)

First / Sole Applicant / Guardian

Name

Gender M F O | PAN | Occupation Type: Service / Business / Others

Father's Name

Folio No.

Address of tax residence would be taken as available in KRA database. In case of any change, please approach KRA & notify the changes

Type of address given at KRA: ✓ Residential or Business ✓ Residential ✓ Business ✓ Registered Office

Permissible documents are: Passport / Election ID Card / PAN Card / Govt. ID Card / Driving Licence / UIDAI Card / NREGA Job Card / Others

Date of Birth D D M M Y Y Y Y | Place of Birth

Country of Birth

Nationality

Are you a tax resident of any country other than India? Yes ✓ No ✓

If yes, please indicate all countries in which you are resident for tax purposes and the associated Tax ID Numbers below.

Country#	Tax Identification Number*	Identification Type (TIN or Other, please specify)

#To also include USA, where the individual is a citizen / green card holder of The USA

*In case Tax Identification Number is not available, kindly provide its functional equivalent $

Second applicant

Name

Gender M F O | PAN | Occupation Type: Service / Business / Others

Father's Name

Folio No.

Address of tax residence would be taken as available in KRA database. In case of any change, please approach KRA & notify the changes

Type of address given at KRA: ✓ Residential or Business ✓ Residential ✓ Business ✓ Registered Office

Permissible documents are: Passport / Election ID Card / PAN Card / Govt. ID Card / Driving Licence / UIDAI Card / NREGA Job Card / Others

Date of Birth D D M M Y Y Y Y | Place of Birth

Country of Birth

Nationality

Are you a tax resident of any country other than India? Yes ✓ No ✓

If yes, please indicate all countries in which you are resident for tax purposes and the associated Tax ID Numbers below.

Are you a tax resident of any country other than India?

If yes, please indicate all countries in which you are resident for tax purposes and the associated Tax ID Numbers below.

Country#	Tax Identification Number*	Identification Type (TIN or Other, please specify)

#To also include USA, where the individual is a citizen / green card holder of The USA

*In case Tax Identification Number is not available, kindly provide its functional equivalent $

Third applicant

Name			
Gender	M F O	PAN	Occupation Type: Service / Business / Others
Father's Name			
Folio No.			

Address of the residence would be taken as available in KRA database. In case of any change please approach KRA & notify the changes

Type of address given at KRA: ✓ Residential or Business ✓ Residential ✓ Business ✓ Registered Office

Permissible documents are: Passport / Election ID Card / PAN Card / Govt ID Card / Driving License / UIDAI Card / NREGA / Job Card / Others

Date of Birth	D D M M Y Y Y Y	Place of Birth	
Country of Birth			
Nationality			

Are you a tax resident of any country other than India? Yes ✓ No ✓

If yes, please indicate all countries in which you are resident for tax purposes and the associated Tax ID numbers below.

Country#	Tax Identification Number%	Identification Type (TIN or Other, please specify)

To also include USA, where the individual is a citizen / green card holder of The USA
% In case Tax Identification Number is not available, kindly provide its functional equivalent $

Particulars	First Applicant / Guardian		Second Applicant		Third Applicant	
	Yes ✓	No ✓	Yes ✓	No ✓	Yes ✓	No ✓
Are you born in United States or a U.S. territory?						
Are you a US citizen (sole or multiple citizenship)?						
Are you a US Permanent Resident (a US Green Card Holder)?						
Are you a US resident who meets "Substantial Presence Test"? *						
Are you subject to US income tax for any other reason?						

Other Details (Mandatory)

1st Applicant Gross Annual Income: ✓ Below 1 Lac ✓ 1-5 Lac ✓ 5-10 Lac ✓ 10-25 Lac ✓ >25 Lacs < 1 Crore ✓ >1 Crore

2nd Applicant Gross Annual Income: ✓ Below 1 Lac ✓ 1-5 Lac ✓ 5-10 Lac ✓ 10-25 Lac ✓ >25 Lacs < 1 Crore ✓ >1 Crore

3rd Applicant Gross Annual Income: ✓ Below 1 Lac ✓ 1-5 Lac ✓ 5-10 Lac ✓ 10-25 Lac ✓ >25 Lacs < 1 Crore ✓ >1 Crore

Please tick if applicable for 1st Applicant: Politically Exposed Person Related to a Politically Exposed Person (PEP)

Any Other Information:

Please tick if applicable for 2nd Applicant: Politically Exposed Person Related to a Politically Exposed Person (PEP)

Any Other Information:

Please tick if applicable for 3rd Applicant: Politically Exposed Person Related to a Politically Exposed Person (PEP)

Any Other Information:

Certification

I / We have understood the information requirements of this Form (read along with the FATCA & CRS Instructions) and hereby confirm that the information provided by me/us on this Form is true, correct, and complete. I / We also confirm that I / We have read and understood the FATCA & CRS Terms and Conditions below and hereby accept the same.

Signatures

First / Sole Applicant / Guardian	Second Applicant	Third Applicant

Date: Place:

FATCA & CRS Terms & Conditions

Details under FATCA & CRS: The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income-tax Rules, 1962, which Rules require Indian financial institutions to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our unit holders. In relevant cases, information will have to be reported to tax authorities / appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the Folio(s) or any proceeds in relation thereto.

Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.

Please note that you may receive more than one request for information if you have multiple relationships with us or our group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.

If you have any questions about your tax residency, please contact your tax advisor. If you are a US citizen or resident or greencard holder, please include United States in the foreign country information field along with your US Tax Identification Number.

It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

In case investor has the following Indicia pertaining to a foreign country and yet declares self to be non-tax resident in the respective country, customer to provide relevant Curing Documents as mentioned below:

FATCA & CRS Indicia observed (ticked)	Documentation required for Cure of FATCA/ CRS Indicia
U.S. place of birth	1. Self-certification that the unit holder is neither a citizen of United States of America nor a resident for tax purposes; 2. Non-US passport or any non-US government issued document evidencing nationality or citizenship (refer list below) AND 3. Any one of the following documents: Certified Copy of "Certificate of Loss of Nationality or Reasonable explanation of why the customer does not have such a certificate despite renouncing US citizenship; or Reason the customer did not obtain U.S. citizenship at birth
Residence/mailing address in a country other than India	1. Self-certification that the unit holder is neither a citizen of United States of America nor a tax resident of any country other than India; and 2. Documentary evidence (refer list below)
Telephone number in a country other than India	*If no Indian telephone number is provided* 1. Self-certification that the unit holder is neither a citizen of United States of America nor a tax resident of any country other than India; and 2. Documentary evidence (refer list below) *If Indian telephone number is provided along with a foreign country telephone number* 1. Self-certification that the unit holder is neither a citizen of United States of America nor a tax resident for tax purposes of any country other than India; OR 2. Documentary evidence (refer list below)
Standing instruction to transfer funds to an account maintained in a country other than India (other than depository accounts)	1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and 2. Documentary evidence (refer list below)
Additional Information	Refer to IRS website for the details of Substantial Presence Test: (https://www.irs.gov/individuals/international-taxpayers/substantial-presence-test)

List of acceptable documentary evidence needed to establish the residence(s) for tax purposes:

1. Certificate of residence issued by an authorized government body*
2. Valid identification issued by an authorized government body* (e.g. Passport, National Identity card, etc.)

*Government or agency thereof or a municipality of the country or territory in which the payee claims to be a resident.

AMC contact address & call center details	**Blank space for your branch or any other details**

Supplementary KYC Information & FATCA-CRS Declaration - Entities & HUF

(Please consult your professional tax advisor for further guidance on your tax residency, FATCA / CRS Guidance)

UTI Mutual Fund

PAN* ______________________ Name ____

Type of address given at KYC KRA Residential Residential or Business Business Registered Office

City of Incorporation

Country of Incorporation

Net Worth in INR. in Lakhs Net Worth as on [illegible]

Is the entity involved in / providing any of these services:	Foreign Exchange / Money Changer Services	Yes / No	Gaming / Gambling / Lottery Services (e.g. casinos, betting syndicates)	Yes / No	Money Laundering / Pawning	Yes / No	Any other information (please specify)

Entity Constitution Type (Please tick as appropriate): Partnership Firm, HUF, Private Limited Company, Public Limited Company, Society, AOP/BOI, Trust, Liquidator, Limited Liability Partnership, Artificial Juridical Person, Others specify ______

Please tick the applicable tax resident declaration -

1. Is Entity a tax resident of any country other than India Yes No

[illegible]

Country	Tax Identification Number%	Identification Type (TIN or other %, please specify)

% In case Tax Identification Number is not available, kindly provide its functional equivalent or Company Identification Number or Global Entity Identification Number.

In case the Entity's Country of Incorporation / Tax residence is U.S. but Entity is not a Specified U.S. Person, mention Entity's exemption code here ______________________________

FATCA Declaration

(Please consult your professional tax advisor for further guidance on FATCA classification)

PART A (to be filled by Financial Institutions or Direct Reporting NFEs)

1. We are a, Financial Institution* or Direct reporting NFE* (please tick as appropriate)

GIIN ______________________

Note. If you do not have a GIIN but you are sponsored by another entity, please provide your sponsor's GIIN above and indicate your sponsor's name below

Name of sponsoring entity ______________________

GIIN not available (please tick as applicable)

Not required to apply for - please specify 2 digits sub-category

Not obtained - Non-participating FI

PART B (please fill any one as appropriate to be filled by NFEs other than Direct Reporting NFEs)

1.	Is the Entity a publicly traded company (that is, a company whose shares are regularly traded on an established securities market)	Yes No [illegible] Name of stock exchange ______
2.	Is the Entity a related entity of a publicly traded company (a company whose shares are regularly traded on an established securities market)	Yes No [illegible] Name of listed company Nature of relation: Subsidiary of the Listed Company or Controlled by a Listed Company Name of stock exchange ______
3.	Is the Entity an active NFE	Yes No [illegible] Nature of Business ______ Please specify the sub-category of Active NFE [illegible]
4.	Is the Entity a passive NFE	Yes No [illegible] Nature of Business ______

Refer 2a of Part C | Refer 2b of Part C | Refer 2c of Part C | Refer 1 of Part C | Refer 3(vii) of Part C | Refer 4 of Part C

UBO Declaration

Category (Please tick applicable category): ☐ Unlisted Company ☐ Partnership Firm ☐ Limited Liability Partnership Company

☐ Unincorporated association / body of individuals ☐ Public Charitable Trust ☐ Religious Trust ☐ Private Trust

☐ Listed Company (Need not provide UBO details sought under) ☐ Others (please specify________________________)

If your company is listed company on a recognized stock exchange/ Subsidiary of a or controlled by a Listed Company [If this category is selected, no need to provide UBO details]

Name of the Stock Exchange where it is listed #________________________

Security ISIN#________________________

Please list below the details of controlling person(s), confirming ALL countries of tax residency / permanent residency / citizenship and ALL Tax Identification Numbers for EACH controlling person(s).
Owner-documented FFI's should provide FFI Owner Reporting Statement and Auditor's Letter with required details as mentioned in Form W8 BEN E

Name - Beneficial owner / Controlling person **#Country** - Tax Residency* **#Tax ID No.** - Or functional equivalent for each country	**#Tax ID Type** - TIN or Other, please specify **Beneficial Interest** - in percentage **#Type Code** - of Controlling person	**Address** - Include State, Country, PIN / ZIP Code & Contact Details
1. Name Country	Tax ID Type Beneficial Interest Type Code	Address Type ☐ Residential ☐ Business ☐ Registered PIN Code________
2. Name Country	Tax ID Type Beneficial Interest Type Code	Address Type ☐ Residential ☐ Business ☐ Registered PIN Code________
3. Name Country	Tax ID Type Beneficial Interest Type Code	Address Type ☐ Residential ☐ Business ☐ Registered PIN Code________

If passive NFE, please provide below additional details. (Please attach additional sheets if necessary)

PAN **City of Birth** **Country of Birth**	**Occupation Type** - Service, Business, Others **Nationality** **Father's Name** - Mandatory if PAN not available	**DOB** - Date of Birth **Gender** - Male, Female, Other
1. PAN City of Birth Country of Birth	Occupation Type Nationality Father's Name	DOB DD/MM/YYYY Gender Male Female Others
2. PAN City of Birth Country of Birth	Occupation Type Nationality Father's Name	DOB DD/MM/YYYY Gender Male Female Others
3. PAN City of Birth Country of Birth	Occupation Type Nationality Father's Name	DOB DD/MM/YYYY Gender Male Female Others

UBO PEP	**UBO Email** **UBO Mobile**	**Designation**
1. Yes – PEP ☐ 2. Yes – Related to PEP ☐ 3. No – Not a PEP ☐	Email Mobile	
1. Yes – PEP ☐ 2. Yes – Related to PEP ☐ 3. No – Not a PEP ☐	Email Mobile	
1. Yes – PEP ☐ 2. Yes – Related to PEP ☐ 3. No – Not a PEP ☐	Email Mobile	

UBO KYC Complied?	1. YES ☐ 2. NO ☐	1. YES ☐ 2. NO ☐	1. YES ☐ 2. NO ☐

Note: If 'Yes', please attach the KYC acknowledgement.
If 'No', please complete the KYC and confirm the status

Category	Unlisted Company	Partnership Firm	Unincorporated Association / Body of Individuals	Trust	Foreign Investor ###
Ownership Percent (###)	> 10%	> 10%	>10%	>10%	

A. For Investors other than Individuals or trusts:

(i) The identity of the natural person, who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest. Controlling ownership interest means ownership of/entitlement to:
- more than 10% of shares or capital or profits of the juridical person, where the juridical person is a company;
- more than 10% of the capital or profits of the juridical person, where the juridical person is a partnership;
- more than 10% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.

(ii) In cases where there exists doubt under clause (i) above as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests, the identity of the natural person exercising control over the juridical person through other means like through voting rights, agreement, arrangements or in any other manner.

(iii) Where no natural person is identified under clauses (i) or (ii) above, the identity of the relevant natural person who holds the position of senior managing official.

B. For Investors which is a trust: The identity of the settlor of the trust, the trustee, the protector, the beneficiaries with 10% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

Provided that in case of a trust, the reporting entity shall ensure that trustees disclose their status at the time of commencement of an account based relationship or when carrying out transactions as specified in clause (b) of sub-rule (1) rule 9.

C. Exemption in case of listed companies / foreign investors: The client or the owner of the controlling interest is a company listed on a stock exchange or is a majority-owned subsidiary of such a company, there is no need for identification and verification of the identity of any shareholder or beneficial owner of such companies and hence exempted from UBO declaration provided other requisite information is provided. Intermediaries dealing with foreign investors' viz., Foreign Institutional Investors, Sub Accounts and Qualified Foreign Investors, may be guided by the clarifications issued vide SEBI circular CIR/MIRSD/11/2012 dated September 5, 2012 and other circulars issued from time to time, for the purpose of identification of beneficial ownership of the client.

D. KYC requirements: Beneficial Owner(s) / Senior Managing Official (SMO) is/are required to comply with the prescribed KYC process as stipulated by SEBI from time to time with any one of the KRA & submit the same to AMC. KYC acknowledgement proof is to be submitted for all the UBO(s) / SMO(s).

E. In the case of Foreign Investors, the beneficial ownership will be determined as per SEBI guidelines. For details, refer to SAI relevant Addendum.

F. In case of any change in the beneficial ownership, the investor will be responsible to intimate UTI AMC/its Registrar/KRA as may be applicable immediately about such change.

Details of Beneficial Ownership (Please attach a separate sheet with this format if the space provided is insufficient)

Sr. no.	Name	Address	Details of Identity such as PAN / Passport / **PL attach copy of ID Proof attested by Authorised Signatory**	% Ownership
1				
2				
3				
4				
5				
6				
7				
8				
9				
10				

Please refer the gazette notification no. CG-DL-E-07032023-244184 for shareholding % and PMLA guideline.

Additional details to be filled by controlling persons with tax residency / permanent residency / citizenship / Green Card in any country other than India:
* To include US, where controlling person is a US citizen or green card holder
% In case Tax Identification Number is not available, kindly provide functional equivalent

FATCA Terms and Conditions

Towards compliance with tax information sharing laws, such as FATCA, we would be required to seek additional personal, tax and beneficial owner information and certain certifications and documentation from our account holders. Such information may be sought either at the time of account opening or any time subsequently. In certain circumstances we may be obliged to share information on your account with relevant tax authorities. If you have any questions about your tax residency, please contact your tax advisor. Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days. Towards compliance with such laws, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. As may be required by domestic or overseas regulators/ tax authorities, we may also be constrained to withhold and pay out any sums from your account or close or suspend your account(s).

If any controlling person of the entity is a US citizen or resident or green card holder, please include United States in the foreign country information field along with the US Tax Identification Number. Foreign Account Tax Compliance provisions (commonly known as FATCA) are contained in the US Hire Act 2010. Please note that you may receive more than one request for information if you have multiple relationships with ABC. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.

Certification:

I / We have understood the information requirements of this Form (read along with the Instructions & Definitions) and hereby confirm that the information provided by us on this Form is true, correct, and complete. I / We also confirm that I have read and understood the FATCA Terms and Conditions above and hereby accept the same.

Declaration:

I / We acknowledge and confirm that the information provided above is true and correct to the best of our knowledge and belief. In case any of the above specified information is found to be false or untrue or misleading or misrepresenting, I / we are aware that, I / We may be liable for it. I / We hereby authorize UTI Mutual Fund/ RTA of UTI Mutual Fund to disclose, share, rely, remit in any form, mode or manner, all / any of the information provided by me/ us, including all changes, updates to such information as and when provided by me/ us to UTI Mutual Fund, its Sponsor, Asset Management Company, trustees, their employees / RTAs (the Authorized Parties) or any Indian or foreign governmental or statutory or judicial authorities / agencies including but not limited to the Financial Intelligence Unit-India (FIU-IND), the tax / revenue authorities in India or outside India wherever it is legally required and other investigation agencies without any obligation of advising me/ us of the same.

I/We authorize to share the given information to other SEBI Registered Intermediaries /or any regulated intermediaries registered with SEBI / RBI / IRDA / PFRDA to facilitate single submission / update & for other relevant purposes. I/We also undertake to keep you informed in writing about any changes / modification to the above information in future within 30 days of such changes and undertake to provide any other additional information as may be required at your / Fund's end or by domestic or overseas regulators/ tax authorities.

Name	Designation	Signature *
Name	Designation	Signature 2
Name	Designation	Signature 3

*To be signed by the Authorised Signatories (with company/ trust/ firm/ entity seal or rubber stamp).

Place: ___________

Date: __/__/_____

PART D *FATCA Instructions & Definitions*

1. ***Financial Institution (FI)*** - The term FI means any financial institution that is a Depository Institution, Custodial Institution, Investment Entity or Specified Insurance company, as defined.

- Depository institution: is an entity that accepts deposits in the ordinary course of banking or similar business.
- Custodial institution is an entity that has a substantial portion of its business, holds financial assets for the account of others and where the entity's gross income attributable to holding financial assets and related financial services equals to or exceeds 20 percent of the entity's gross income during the shorter of –

(1) The three financial years preceding the year in which determination is made; or
(2) The period during which the entity has been in existence whichever is less.

- Investment entity is any entity:
  - that primarily conducts a business or operates for or on behalf of a customer for any of the following 3 activities-
    - Trading in money market instruments, foreign exchange, foreign currency, etc.
    - Individual or collective portfolio management
    - Investing, administering or managing funds, money or financial asset on behalf of other persons;

or

- The gross income of which is primarily attributable to investing, reinvesting, or trading in financial assets, if the entity is managed by another entity that is a depository institution, a custodial institution, a specified insurance company, or an investment entity described above.
-

(An entity is treated as primarily conducting as a business one or more of the 3 activities described above, or an entity's gross income is primarily attributable to investing, reinvesting, or trading in financial assets of the entity's gross income attributable to the relevant activities equals or exceeds 50 percent of the entity's gross income during the shorter of:

(i) the three-year period ending on 31 March of the year preceding the year in which the determination is made; or
(ii) the period during which the entity has been in existence.

The term "Investment Entity" does not include an entity that is an active non-financial entity as per codes 03, 04, 05 and 06 - refer point 2c.)

- Specified Insurance Company: Entity that is an insurance company (or the holding company of an insurance company) that issues, or is obligated to make payments with respect to, a Cash Value Insurance Contract or an Annuity Contract.

- FI not required to apply for *GIIN*

A. Reasons why FI not required to apply for GIIN

Code	Sub-category
01	Governmental Entity, International Organization or Central Bank
02	Treaty Qualified Retirement Fund; a Broad Participation Retirement Fund; a Narrow Participation Retirement Fund; or a Pension Fund of a Governmental Entity, International Organization or Central Bank
03	Non-public fund of the armed forces, an employees' state insurance fund, a gratuity fund or a provident fund
04	Entity is an Indian FI solely because it is an investment entity
05	Qualified credit card issuer
06	Investment Advisors and Investment Managers
07	Exempt collective investment vehicle
08	Trustee of an Indian Trust
09	FI with a local client base
10	Non-registering local banks
11	FFI with only Low-Value Accounts
12	Sponsored investment entity and controlled foreign corporation
13	Sponsored, Closely Held Investment Vehicle
14	Owner Documented FFI

2. Non-financial entity (NFE) - Foreign entity that is not a financial institution

Types of NFEs that are regarded as excluded NFE are:

a. *Publicly traded company (listed company)*
A company is publicly traded if its stock are regularly traded on one or more ***established securities markets*** ***(Established securities market means an exchange that is officially recognized and supervised by a governmental authority in which the securities market is located and that has a meaningful annual value of shares traded on the exchange)***
b. *Related entity of a publicly traded company*
The NFE is a related entity of an entity of which is regularly traded on an established securities market;

C. Active NFE : (is any one of the following):

Code	Sub-category
01	Less than 50 percent of the NFE's gross income for the preceding financial year or other appropriate reporting period is passive income and less than 50 percent of the assets held by the NFE during the preceding calendar year or other appropriate reporting period are assets that produce or are held for the production of passive income
02	The NFE is a Governmental Entity, an International Organization, a Central Bank , or an entity wholly owned by one or more of the foregoing;
03	Substantially all of the activities of the NFE consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an entity shall not qualify for NFE status if the entity functions (or holds itself out) as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes;
04	The NFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFE shall not qualify for this exception after the date that is 24 months after the date of the initial organization of the NFE;
05	The NFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations in a business other than that of a Financial Institution;
06	The NFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution;
07	Any NFE is a 'non for profit' organization which meets all of the following requirements: It is established and operated in its jurisdiction of residence exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in its jurisdiction of residence and it is a professional organization, business league, chamber of commerce, labor organization, agricultural or horticultural organization, civic league or an organization operated exclusively for the promotion of social welfare; It is exempt from income tax in India; It has no shareholders or members who have a proprietary or beneficial interest in its income or assets; The applicable laws of the NFE's jurisdiction of residence or the NFE's formation documents do not permit any income or assets of the NFE to be distributed to, or applied for the benefit of, a private person or non-charitable Entity other than pursuant to the conduct of the NFE's charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the NFE has purchased; and The applicable laws of the NFE's jurisdiction of residence or the NFE's formation documents require that, upon the NFE's liquidation or dissolution, all of its assets be distributed to a governmental entity or other non-profit organization, or escheat to the government of the NFE's jurisdiction of residence or any political subdivision thereof.

3. Other definitions

(i) Related entity

An entity is a related entity of another entity if either entity controls the other entity, or the two entities are under common control For this purpose, control includes direct or indirect ownership of more than 50% of the vote or value in an entity.

(ii) Passive NFE

The term passive NFE means any NFE that is

(a) not an Active NFE or publicly traded entity or a entity related to a publicly traded entity

(b) the gross income of which is primarily attributable to investing, reinvesting, or trading in financial assets, if the entity is managed by another entity that is a depository institution, a custodial institution, a specified insurance company, or an investment entity

(c) a withholding foreign partnership or withholding foreign trust as defined under the relevant U.S. Treasury Regulations. (Note: Foreign persons having controlling interest in a passive NFE are liable to be reported for tax information compliance purposes)

(iii) Passive income

The term passive income means the portion of gross income that consists of:

(a) Dividends, including substitute dividend amounts;

(b) Interest

(c) Income equivalent to interest, including substitute interest and amounts received from or with respect to a pool of insurance contracts if the amounts received depend in whole or part upon the performance of the pool;

(d) Rents and royalties, other than rents and royalties derived in the active conduct of a trade or business conducted, at least in part, by employees of the NFE

(e) Annuities

(f) The excess of gains over losses from the sale or exchange of financial assets that gives rise to passive income

(g) The excess of gains over losses from transactions (including futures, forwards, and similar transactions) in any financial assets,

(h) The excess of foreign currency gains over foreign currency losses

(i) Net income from swaps

(j) Amounts received under cash value insurance contracts

But passive income will not include, in case of a non-financial entity that acts as a dealer in financial assets, any income from any transaction entered into in the ordinary course of such dealer's business as a dealer.

(iv) Controlling persons

Controlling persons are natural persons who exercise control over an entity and includes a beneficial owner under the PMLA Rules. In the case of a trust, such term means the settlor, the trustees, the protector (if any), the beneficiaries or class of beneficiaries, and any other natural person exercising ultimate effective control over the trust. In the case of a legal arrangement other than a trust, such term means persons in equivalent or similar positions.

Based on guidelines on identification of Beneficial Ownership issued vide SEBI circular no. CIR/MIRSD/2/2013 dated January 24, 2013, and Prevention of Money-laundering (Maintenance of Records) Amendment Rules, 2023 notified on 03/03/2023, Persons (other than Individuals) are required to provide details of Beneficial Owner(s) (BO). Accordingly, the Beneficial Owner means 'Natural Person', who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest of / entitlement to:

i. More than 10% of shares or capital or profits of the juridical person, where the juridical person is a company;

ii. More than 10% of the capital or profits of the juridical person, where the juridical person is a partnership; or

iii. More than 10% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.

Where the client is a trust, the financial institution shall identify the beneficial owners of the client and take reasonable measures to verify the identity of such persons, through the identity of the settlor of the trust, the trustee, the protector, the beneficiaries with 10% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

Where no natural person is identified the identity of the relevant natural person who holds the position of senior managing official.

(iii) Controlling Person Type:

Code	Sub-category
01	CP of legal person-ownership
02	CP of legal person-other means
03	CP of legal person-senior managing official
04	CP of legal arrangement-trust-settlor
05	CP of legal arrangement-trust-trustee
06	CP of legal arrangement-trust-protector
07	CP of legal arrangement-trust-beneficiary
08	CP of legal arrangement-trust-other
09	CP of legal arrangement—Other-settlor equivalent
10	CP of legal arrangement—Other-trustee equivalent
11	CP of legal arrangement—Other-protector equivalent
12	CP of legal arrangement—Other-beneficiary equivalent
13	CP of legal arrangement—Other-other equivalent

(iv) Specified U.S. person – A U.S person other than the following:

(a) a corporation the stock of which is regularly traded on one or more established securities markets;

(b) any corporation that is a member of the same expanded affiliated group, as defined in section 1471(e)(2) of the U.S. Internal Revenue Code, as a corporation described in clause (i);

(c) the United States or any wholly owned agency or instrumentality thereof;

(d) any State of the United States, any U.S. Territory, any political subdivision of any of the foregoing, or any wholly owned agency or instrumentality of any one or more of the foregoing;

(e) any organization exempt from taxation under section 501(a) of the U.S. Internal Revenue Code or an individual retirement plan as defined in section 7701(a)(37) of the U.S. Internal Revenue Code;

(f) any bank as defined in section 581 of the U.S. Internal Revenue Code;

(g) any real estate investment trust as defined in section 856 of the U.S. Internal Revenue Code;

(h) any regulated investment company as defined in section 851 of the U.S. Internal Revenue Code or any entity registered with the U.S. Securities and Exchange Commission under the Investment Company Act of 1940 (15 U.S.C. 80a-64);

(i) any common trust fund as defined in section 584(a) of the U.S. Internal Revenue Code;

(j) any trust that is exempt from tax under section 664(c) of the U.S. Internal Revenue Code or that is described in section 4947(a)(1) of the U.S. Internal Revenue Code;

(k) a dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any State;

(l) a broker as defined in section 6045(c) of the U.S. Internal Revenue Code; or

(m) any tax-exempt trust under a plan that is described in section 403(b) or section 457(g) of the U.S. Internal Revenue Code.

(v) Direct reporting NFE

A direct reporting NFFE means a NFFE that elects to report information about its direct or indirect substantial U.S. owners to the IRS.

(vi) Owner documented FFI

An FFI meets the following requirements:

(a) The FFI is an FFI solely because it is an investment entity;

(b) The FFI is not owned by or related to any FFI that is a depository institution, custodial institution, or specified insurance company;

(c) The FFI does not maintain a financial account for any non participating FFI;

(d) The FFI provides the designated withholding agent with all of the documentation and agrees to notify the

withholding agent if there is a change in circumstances; and

(e) The designated withholding agent agrees to report to the IRS (or, in the case of a reporting Model 1 IGA, to the relevant foreign government or agency thereof) all of the information described in or (as appropriate) with respect to any specified U.S. persons and (2). Notwithstanding the previous sentence, the designated withholding agent is not required to report information with respect to an indirect owner of the FFI that holds its interest through a participating FFI, a deemed-compliant FFI (other than an owner-documented FFI), an entity that is a U.S. person, an exempt beneficial owner, or an excepted NFFE.

UTI Mutual Fund

Declaration Form of Non-Profit Organization (NPO)

(Mandatory for Trusts/Society)

Investor Name										
PAN										

☐ I/We hereby confirm that above stated entity / organization is falling under "Non-profit organization" [NPO] which has been constituted for religious or charitable purposes referred to in clause (15) of section 2 of the Income-tax Act, 1961 (43 of 1961), and is registered as a trust or a society under the Societies Registration Act, 1860 (21 of 1860) or any similar State legislation or a Company registered under the section 8 of the Companies Act, 2013 (18 of 2013).

☐ Enclosed relevant documentary proof evidencing the above definition.

We further confirm that we have registered with DARPAN Portal of NITI Aayog as NPO and registration details are as follows:

Registration Number of DARPAN portal	

If not, please register immediately and confirm with the above information. In absence of receipt of the Darpan portal registration details, UTI Mutual Fund/UTI AMC/RTA will be required to register your entity on the said portal and/or report to the relevant authorities as applicable.

☐ I/We hereby confirm that the above stated entity / organization is NOT falling under Non-profit organization as defined above or in PMLA Act/Rules thereof.

I/We acknowledge and confirm that the information provided above is true and correct to the best of my/our knowledge and belief. In case any of the above specified information is found to be false or untrue or misleading or misrepresenting, I/We am/are aware that I/We may be liable for it for any fines or consequences as required under the respective statutory requirements and authorize you to deduct such fines/charges under intimation to me/us or collect such fines/charges in any other manner as might be applicable. I/We hereby authorize you (UTI Mutual Fund/ RTA of UTI Mutual Fund /AMC/Other participating entities) to disclose, share, rely, remit in any form, mode or manner, all / any of the information provided by me, including all changes, updates to such information as and when provided by me to any of the UTI Mutual Fund, its Sponsor, Asset Management Company, trustees, their employees / RTAs ('the Authorised Parties') or any Indian or foreign governmental or statutory or judicial authorities / agencies including to the Financial Intelligence Unit-India (FIU-IND), the tax / revenue authorities in India or outside India wherever it is legally required and other investigation agencies without any obligation of advising me/us of the same. Further, I/We authorize to share the given information to other SEBI Registered Intermediaries or any other statutory authorities to facilitate single submission / update & for regulatory purposes. I/We also undertake to keep you informed in writing about any changes / modification to the above information in future within 30 days of such changes and undertake to provide any other additional information as may be required at your / Fund's end or by domestic or overseas regulators/ tax authorities.

Signature with relevant seal:

Authorised Signatory	Authorised Signatory	Authorised Signatory

Place: ______________________

Date: __/ ___/ ____

CORPORATE OFFICE

UTI Tower, "Gn" Block, Bandra-Kurla Complex, Bandra (E), Mumbai - 400 051. Tel.: (022) [illegible]

OFFICIAL POINTS OF ACCEPTANCE
UTI FINANCIAL CENTRES

WEST ZONE

GUJARAT REGION

Ahmedabad : 2nd Floor, [illegible] Bhavan, Ahmedabad, Gujarat, Tel: [illegible], **Anand** : [illegible], First Floor, [illegible] Complex, V V Nagar Road, Anand, Gujarat-[illegible], Tel: [illegible], **Bardoli** : [illegible] Central Plaza, Upper Ground Floor, Station Road, [illegible] Nagar, [illegible] Society, Bardoli, District: Surat, State: Gujarat PIN [illegible], **Baroda** : Shop no 6 and 7, Landmark Building, [illegible] Circle, Baroda, Gujarat-[illegible], Tel: [illegible], **Bharuch** : Office Bearing no [illegible] and [illegible], Second Floor, [illegible] Business Hub, [illegible] Road, Bharuch, Gujarat-[illegible], **Bhavnagar** : Shop No [illegible], First Floor, [illegible], Waghawadi Road, Bhavnagar, Gujarat-[illegible], **Bhuj** : 1st Floor, Plot no [illegible], [illegible] colony, Opposite All India Radio, Jubilee Circle, Bhuj, Gujarat-[illegible], Tel: [illegible], **Gandhidham** : Office No. [illegible], on the First Floor of the building namely [illegible], Gandhidham, Gujarat-[illegible], **Gandhinagar** : Shop No.[illegible], Ground Floor, [illegible], Sector [illegible], Gandhinagar, Gujarat - [illegible], **Godhra** : [illegible] Complex, Ground Floor, [illegible] Society, Nr Hanuman Temple, [illegible] Road, Godhra, District: Panchmahal, State: Gujarat, PIN [illegible], **Himmatnagar** : Shop No. [illegible], First Floor, [illegible], Himmatnagar, District: Sabarkantha, State: Gujarat, PIN [illegible], **Jamnagar** : [illegible], Madhav Square, Lal Bunglow Road, Jamnagar, Gujarat-[illegible], Tel: [illegible], **Junagadh** : 1st Floor, [illegible], Shop Nos. [illegible], Opp. Bahauddin College, College Road, Junagadh, Gujarat-[illegible], Tel: [illegible], **Mehsana** : 1st Floor, [illegible] Complex, [illegible] Shopping Centre, Opp. Mehsana Urban Bank, Corporate House, Highway, Mehsana, Gujarat-[illegible], Tel: [illegible], **Navsari** : [illegible], 2nd Floor, [illegible], Navsari, Gujarat-[illegible], Tel: [illegible], **Palanpur** : [illegible], 2nd Floor, [illegible] Road, [illegible], Palanpur, District: Banaskantha, State: Gujarat, PIN [illegible], **Rajkot** : 1st Floor, [illegible], Opp. [illegible] Ground, Dr. Radhakrishnan Road, [illegible] Road, Rajkot, Gujarat-[illegible], Tel: [illegible], **Surat** : [illegible], Higher Ground, International Trade Centre, Majuragate Ring Road, Surat, Gujarat-[illegible], **Valsad** : [illegible], Signature Building, Opp [illegible], Above [illegible] Bank, Halar, Valsad, Gujarat-[illegible], Tel: [illegible], **Vapi** : 1st Floor, Office No [illegible], [illegible] Complex, Opp. [illegible] Road, Chala, Vapi, Gujarat-[illegible], Tel: [illegible].

MUMBAI REGION

Bandra Kurla Complex : UTI Tower, Plot C-1, GN Block, Bandra Kurla Complex, Bandra (East), Mumbai, Maharashtra-400 051, Tel: [illegible], **Borivali** : Shop No. [illegible], Ground Floor, [illegible] Apartment, [illegible] Nagar, [illegible] Chandavarkar Road, Borivali West, Mumbai, Maharashtra-400 092, Tel: [illegible], **Chembur** : [illegible], 1st Floor, [illegible] Building, Junction of [illegible] Road and [illegible] Road, Opp Chembur Rly Station East, Chembur (East), Mumbai, Maharashtra-400 071, Tel: [illegible], **JVPD** : Unit no [illegible], Block [illegible], Opp Juhu Shopping Centre, [illegible] Cross Road no [illegible], JVPD Scheme, JVPD, Andheri (W), Mumbai, Maharashtra-400 049, Tel: [illegible], **Kalyan** : Shop No.[illegible], Ground Floor, [illegible] Villa, [illegible], Kalyan (West), [illegible] Tel: [illegible], **Mumbai (Main)** : [illegible], Lotus Court, Jamshedji Tata Road, Backbay Reclamation, UTI Regional and Zonal Office, Churchgate Mumbai, Maharashtra-400 020, Tel: [illegible], **Thane** : [illegible], [illegible], Ram Maruti Road, Opp. New English School, Naupada, Thane (West), Maharashtra-400 602, Tel: [illegible], **Vashi** : Shop No [illegible], Ground Floor, Vardhaman Chamber Premises C. S. Ltd., Plot No [illegible], Sector 17, Vashi, Navi Mumbai, Maharashtra-400 703, Tel: [illegible], **Virar** : Shop No. [illegible], Ground Floor, [illegible] Apartment, [illegible] Nagar Building No. [illegible] CHS Ltd., Agashi Road, [illegible] Road, Near [illegible], Virar (West), Dist-Palghar, Maharashtra-401303, Tel: [illegible].

NAGPUR REGION

Akola : Lakhotia Apartment Ground Floor, Near Anand Bakery Ramdaspeth Akola, Akola, Maharashtra-[illegible], Tel: [illegible], **Amravati** : C-1, Vimane Tower, S. T. Stand Road, Amravati, Maharashtra-[illegible], Tel: [illegible], **Bhilai** : 28-Commercial Complex, Nehru Nagar (East), Bhilai, Chhattisgarh-[illegible], Tel: [illegible], **Bhopal** : 2nd Floor, V & V Plaza, Plot No. 2 M P Nagar, Zone II, Bhopal, Madhya Pradesh-[illegible], Tel: [illegible], **Bilaspur** : Anandam Plaza, [illegible], Ground floor, Main Road, Vyapar Vihar, Bilaspur, Chhattisgarh-[illegible], Tel: [illegible], **Gwalior** : [illegible], Alakhnanda Towers, City Centre, Gwalior, Madhya Pradesh-[illegible], Tel: [illegible], **Indore** : [illegible] Starlit Tower, Yashwant Niwas Road, Indore, Madhya Pradesh-[illegible], Tel: [illegible], **Jabalpur** : [illegible], 1st Floor, Above HDFC Bank, Gole Bazar, Jabalpur, Madhya Pradesh-[illegible], Tel: [illegible], **Korba** : 1st Floor of the building namely Gangotri Avenue, Plot No-[illegible], [illegible], T.P Nagar, Korba, Korba, Madhya Pradesh-[illegible], **Nagpur** : F-1, Shraddha House, [illegible], S. V. Patel Marg (Kingsway), Nagpur, Maharashtra-[illegible], Tel: [illegible], **Raipur** : Vanijya Bhavan, Sai Nagar, Jail Road, Raipur, Chhattisgarh-[illegible], Tel: [illegible], **Ratlam** : R.S. Paradise, [illegible], 1stFloor, Above Tirupati Sweet, Do Batti Square, Near Road, Ratlam, Madhya Pradesh-[illegible], Tel: [illegible], **Sagar** : Ground Floor, "Vindhyan Bhavan", Shivaji Ward, Opp to Govt Polytechnic College, Sagar MP, Sagar, Madhya Pradesh-[illegible], **Ujjain** : 2ND Floor of the building namely Laddha's House, 27 Amar Singh Marg Freeganj Ujjain M.P, ujjain, Madhya Pradesh-[illegible], Tel: [illegible].

REST OF MAHARASHTRA AND GOA

Ahilyanagar : Office No. [illegible], 1st Floor "Vedant Icon", Premdan Chowk, Savedi, Ahilyanagar, Maharashtra-[illegible], Tel: [illegible], **Chhatrapati Sambhajinagar** : Plot no [illegible], Samarth Nagar, near Seven Hills chowk, Varad Ganesh Road, Chhatrapati Sambhajinagar, Maharashtra-[illegible], Tel: [illegible], **Chinchwad** : Shop No. 7 & 8, Ground Floor, M Building, Empire Estate Cooperative Housing Society Ltd, Chinchwad (Nagar), Pune- [illegible] Maharashtra Tel No. [illegible], **Dhule** : CTS No.[illegible], 1st Floor, Madhuban Arcade, Lane No.[illegible], Dhule, Maharashtra-[illegible], Tel: [illegible], **Kolhapur** : [illegible], Ground Floor, Ayodhya Towers, CS No [illegible], [illegible], E Ward, Dabholkar Corner, Station Road, Kolhapur, Maharashtra-[illegible], Tel: [illegible], **Latur** : Ground Floor, Shop No. [illegible], Kamal Heights, Nandi Stop Ause Road, Latur, District Latur, State Maharashtra, PIN: [illegible], **Margao** : Shop Nos [illegible] & [illegible], Jeevottam Sadan, [illegible], Francisco Maugena Road, Behind Cine Metropole, Margao, Goa-[illegible], Tel: [illegible], **Nasik** : Ground Floor, Apurva Avenue, Near Kusumagraj Pratishthan, Tilakwadi, Nasik, Maharashtra-[illegible], Tel: [illegible], **Panaji** : Mezzanine Floor, [illegible] House, Dr Atmaram Borkar Road, Panaji, Goa-[illegible], Tel: [illegible], **Pune** : Ground Floor, Shubhadra Bhavan, Apte Road, Opposite Kamat Grand Hotel, Pune, Maharashtra-[illegible], Tel: [illegible], **Ratnagiri** : KSP Almas, Shop No [illegible] & [illegible], A Wing Upper Ground Floor, Near Maruti Mandir, Nachane, District Ratnagiri, State Maharashtra, PIN: [illegible], Tel No. [illegible], **Sangli** : 1st Floor, Building No [illegible], Unit No [illegible], Krishnayan Business Arcade, Opp. Zilla Parishad, Miraj Road, Sangli, Maharashtra-[illegible], Tel: [illegible], **Satara** : [illegible], Kashi Heights, Shop No. [illegible] & [illegible], CST No. [illegible], Pratapganj Peth, Rasala Ganesh Mandir, Satara, Maharashtra-[illegible], Tel: [illegible], **Belgaum** : [illegible], Ground Floor, Rajabhau Patwardhan Chowk, Railway Lines, Belgaum Maharashtra-[illegible], Tel: [illegible].

SAURASHTRA REGION

Amreli : Shop 1, 2 & 3 Jay Jalaram Complex, 1[st] Floor, Nr. HDFC Bank, Manekpara Main Road, Opp. Swaminarayan Temple, District Amreli, State Gujarat, PIN [illegible], **Porbandar** : Parasharth First Floor, Above Aman Studio, Opp. SBI Bank, District Porbandar, State Gujarat, PIN [illegible], **Surendranagar** : Shop No. [illegible], Maya Mall, 1st Floor, [illegible], Near Milan Cinema, Ambedkarnagar, Wadhwan, District Surendranagar, State Gujarat, PIN [illegible].

VIDHARBHA REGION

Bhusawal : Ground Floor, Jawahar, Besides Axis Bank, Jamner Road, District Jalgaon, State Maharashtra, PIN [illegible], **Buldhana** : 1st Floor, Dinesh Complex, Tilak Wadi, Bus Stand Main Road, District Buldhana, State Maharashtra, PIN : [illegible], **Chandrapur** : 1st Floor of the building namely Akbar Villa, Tadoba Road Chandrapur, Chandrapur, Maharashtra-[illegible], **Gondia** : Ground Floor, R.S House, Old Bus Stand Road, Opp.

Canara Bank, Gurunanak Ward, District: Gondia, State: Maharashtra, PIN: 441601, Jalgaon : First Floor, Plot No-68, Opp. Himalay Traders, Above Muthoot Finance, Chitra Chowk, Zilha Peth, Jalgaon, Maharashtra-425 001, Tel: 0257-2240480, 2240[illegible], Nanded : shop/maljiya bearing No. 3 on the 1st Floor, Sanman Prestige situated at Near ZP Building Nanded, Nanded, Maharashtra-431601, Tel: [illegible], Wardha : 1st Floor, Gharu Towers, Above Bank of India, Mahadeo Pura, District: Wardha, State: Maharashtra, PIN: 442001, Tel No.: [illegible], Yavatmal : Ground Floor, Mal - 23, Pan-N-Mall, SBI Road, Opp. Shivaji Colony, Bhambayewale Compound, District: Yavatmal, State: Maharashtra, PIN: 445001.

MP & CHATTISGARH

Chhindwara : Ground Floor, Patel Arcade, Chaitanya Mandap, Jaharmahaka chowk, Nagpur Road, District: Chhindwara, State: Madhya Pradesh, PIN: 480001, **Khandwa** : Landmark One Building Mananghin Tiraha, Pandhana, Khandwa, Khandwa Main Rd, Madhya Pradesh, District: Khandwa, State: Madhya Pradesh, PIN: 450001, **Raigarh** : 1st Floor, Rahul Complex, Behind Axis Bank, Dhimrapur Road, Jagatpur, Raigarh, District: Raigarh, State: Chhattisgarh, PIN: 496001, **Satana** : 1st Floor, Akash Tower, Pushpraj Colony, Behind SBI, District: Satna, State: Madhya Pradesh, PIN: 485001, **Shivpuri** : 1st Floor, Above Indian Bank, Near Sanna Market, A.B Road, Shivpuri, District: Shivpuri, State: Madhya Pradesh, PIN: 473551.

NORTH ZONE

CHANDIGARH REGION

Ambala : 6349/[illegible] to 4, 1st Floor, "Tribhi Chambers" Punjabi Mohalla, Opposite Municipal Corporation, Ambala Cantt, P.O. – Ambala Cantt District: Ambala State: Haryana Pin: 133001, Tel No.: 0171-[illegible], **Amritsar** : SCO 34, 1st Floor, District Shopping Centre, B- Block, Ranjit Avenue, Amritsar, Punjab-143 001, (0183) [illegible], **Bathinda** : MCB, Z-[illegible], 1st Floor, Nr. Vardhan Hospital, Tinkoni Chowk, Bareilly Road, Bathinda, Punjab-151 001, (0164) [illegible], **Bhiwani** : House No.[illegible], First Floor, Near Dhamija Hospital, Agarsen Chowk, District: Bhiwani, State: Haryana, PIN: 127021, **Chandigarh** : SCO No.2[illegible], 1st floor Sector 35-C, Opp. JW Marriott, Chandigarh -160 022, (0172) [illegible], **Jalandhar** : Office No. [illegible], First Floor, City Square Building, Civil Lines, Jalandhar, Punjab-144 001, (0181) [illegible], **Jammu** : Gupta Tower, [illegible], 2nd Floor, Rail Head Complex, Bahu Plaza Jammu, Jammu & Kashmir-180 004, (0191) [illegible], **Kangra**: 2nd Floor, [illegible] Tower, above Indian Bank, Near Indian Oil Petrol Pump, Dharamsala Road, Kangra, District: Kangra, State: Himachal Pradesh, PIN: 176001, **Kurukshetra** : 1st Floor, Ward No-[illegible] Adjoining Bank of India, Railway Road, Opp-Bank of Baroda, District: Kurukshetra, State: Haryana PIN: 136 118, **Ludhiana** : SCO 14 (First Floor), Feroze Gandhi Market, Ludhiana, Punjab-141 001, (0161) [illegible], **Mandi**: House No.[illegible], Ground Floor, Ram Nagar Mandi, Near Vishal Mega Mart, District: Mandi, State: Himachal Pradesh, PIN: 175001, **Moga**: Ground Floor, Khasra No. [illegible], Khatauni No. [illegible], Feroze Road, Ludhiana, G.T Road Near Gandhi Road, District: Moga, State: Punjab PIN: 142001, **Panipat** : Office no.[illegible], Second Floor, N.K. Tower, Near HDFC Bank, G.T Road, Panipat, Haryana-132 103, (0180) [illegible], **Patiala** : SCO-[illegible], Ground Floor, New Leela Bhawan Market, Patiala, Punjab 147001 Tel No: 0175 [illegible], **Rewari**: Shop No. [illegible], First Floor, Brass Market, Rewari Road, District: Rewari, State: Haryana, PIN: 123 401, **Sangrur**: First Floor, SCF No.-[illegible] Nagar, Sunam Road, Near UCO Bank, District: Sangrur, State: Punjab PIN: 148001, **Shimla** : Bell Villa, 5th Floor, Below Scandal Point The Mall, Shimla, Himachal Pradesh-171 001, (0177) [illegible], **Sirsa**: Opp HDFC Bank, 2nd Floor, Reliance Fashion World, Sangwan Chowk, Circular Road, District: Sirsa, State: Haryana PIN 125055, **Solan** : Sharma Complex, 2nd Floor, Rajgarh Road, Opposite D Baghat Urban Co-operative Bank Ltd., District: Solan, State: Himachal Pradesh, PIN: 173 212, **Yamuna Nagar**: MC Unit-[illegible], 1st Floor, Ganpati Building, Opposite Madhu Hotel, District: Yamuna Nagar, State: Haryana, PIN: 135 001.

DELHI REGION

Dehradun : [illegible], Mahant Laxman Das Road, Near Ashley Hall, Dehradun Pin code: 248001 Landline No.: 0135 [illegible], **Faridabad** : SCO-[illegible], First Floor, Sector – 16, HUDA Market, Faridabad, Haryana-121 002, 0129-[illegible], **Ghaziabad** : [illegible], Ground Floor, RDC, Raj Nagar Opp Petrol Pump, Ghaziabad, Uttar Pradesh-201 001, (0120) [illegible], [illegible], **Gurgaon** : [illegible], 1st Floor, Vipul Agora, M.G. Road, Sector 28, Gurgaon-122001(Haryana) Phone no: 0124-[illegible], **Haridwar** : First Floor, Aashirwad Complex Near Ahuja Petrol Pump, Opp Khanna Nagar, Jwalapur Haridwar, Uttarakhand-249401, 01334- [illegible], **Hisar**: SCF-[illegible], First floor, Green Square Market, District: Hisar, State: Haryana, Pin Code: 125001, Tel: [illegible], **Janak Puri** : [illegible], 1st Floor B-1, Community Centre, Near HDFC Bank, Janak Puri, Delhi-110 058, [illegible],

[illegible], **Meerut** : [illegible], Narayani Tower Ground floor Mangal Pandey Nagar Meerut, Uttar Pradesh-[illegible], [illegible], **Nehru Place** : 1st Floor, Ghanshyam House, [illegible], Nehru Place, New Delhi, Delhi-[illegible], [illegible], **Noida** : [illegible] & [illegible], 1st Floor, Opp HSBC Bank, Sector -18 Noida, Uttar Pradesh-[illegible], [illegible], **Pitam Pura** : [illegible], FIRST FLOOR, P P TOWER, Netaji Subhash Place, Pitam Pura, Delhi, Delhi-[illegible], [illegible], **Rohtak** : Plot No- [illegible], 2nd Floor, Bank Square, Opp. Myna Tourist Complex, Delhi Road, Rohtak, Haryana-[illegible], [illegible], **Saharanpur** : Shop No.4, Upper Ground Floor, Arun Vihar Market, Delhi Road, Saharanpur, Uttar Pradesh-[illegible], [illegible].

RAJASTHAN REGION

Ajmer : [illegible], 2nd Floor, Near Sindhu Bhawan, Infront of Patel Maidan, Jaipur Road, AJMER, Rajasthan-[illegible], [illegible], **Alwar** : Plot No. 1, Jai Complex, 1st Floor, Above Axis Bank, Road No. 2, Alwar, Rajasthan-[illegible], [illegible], **Bharatpur**: Plot No. - [illegible], Shop No. - [illegible], Opp. Raj Garden, 100 Feet Road, Sec - [illegible], District Bharatpur, State Rajasthan Pin [illegible], **Bhilwara** : [illegible] Ground Floor, S K Plaza, Pur Road, Bhilwara, Rajasthan-[illegible], [illegible], **Bikaner** : Gupta Complex, 1st Floor, Opp Chhagan Shop, Rani Bazaar, Bikaner, Rajasthan-[illegible], [illegible], **Chittorgarh** : [illegible], First Floor, Meera Nagar, Near HDFC Bank, District Chittorgarh, State Rajasthan, PIN [illegible], **Jaipur** : Vasant 1st Floor, Plot No [illegible], Above HDFC Bank, Bhikshukrue Garden, Sardar Patel Marg, C Scheme Jaipur, Rajasthan-[illegible], [illegible], **Jodhpur** : [illegible], 10th Pal Road, Opposite Lakshya Apartments, Sardarpura, Jodhpur, Rajasthan-[illegible], [illegible], **Kota** : Plot no 1, Sundar Arcade, Aerodrome Circle, Kota, Rajasthan-[illegible], [illegible], **Sikar** : UTI Mutual Fund, Ground Floor, Bargotiya Plaza, Kalyan Circle, Silver Jubilee Road, Sikar, Rajasthan-[illegible], [illegible] and [illegible], **Sriganganagar** : Ground Floor, Plot no [illegible], [illegible], Opposite Bihani Petrol Pump, Sriganganagar, Rajasthan-[illegible], [illegible], **Udaipur** : RTDC Building, Ground Floor, Hotel Kajri, Shastri Circle, Udaipur, Rajasthan-[illegible], [illegible].

UTTAR PRADESH REGION

Agra : FCI Building, Ground Floor, [illegible], Sanjay Palace, Agra, Uttar Pradesh-[illegible], [illegible], **Aligarh** : [illegible], Ram Ghat Road, Opp. Old Atrauli Bus Stand, Aligarh, Uttar Pradesh-[illegible], [illegible], **Allahabad** : 4, Sardar Patel Marg, Civil Lines, Prayagraj, Uttar Pradesh-[illegible], [illegible], **Ballia** : [illegible], First Floor, Singh Stationary Mart Building, Middle Chauraha, Near SBI, Thakurganj, District Ballia, State Uttar Pradesh, PIN [illegible], **Bareilly** : 1st Floor, Mandakini Towers, [illegible] - Civil Lines, Station Road Bareilly, Uttar Pradesh-[illegible], [illegible], **Basti** : Kamla Kunja Building, Ground Floor, Beside HDFC First Bank, Ward No.- 4, Mohalla Pikaura Shiv Gulam, Malviya Road, District Basti, State Uttar Pradesh, PIN [illegible], **Etawah**: Mamta Prem Bhawan, Opposite Farrukhabad Crossing, Katra, Balsingh, District Etawah, State Uttar Pradesh, PIN [illegible], **Faizabad**: [illegible] Nova Complex, Rikabganj Kandhari Bazar, District Faizabad, State Uttar Pradesh, PIN [illegible], **Firozabad** : [illegible], First Floor, Agra Gate, Kai Bazar, Near Telephone Exchange, District Firozabad, State Uttar Pradesh, PIN [illegible], **Gorakhpur** : Cross Road The Mall, Shop No [illegible], 1st Floor, Bank Road, A D Chowk, Gorakhpur, Uttar Pradesh-[illegible], [illegible], **Haldwani** : 1st Floor, A K Tower, Landmark Above HDFC Bank Ltd., Durga City Centre, Haldwani, Uttarakhand-[illegible], [illegible], **Jaunpur** : [illegible], First Floor, Wazidpur Sahabin Shaheri, District Jaunpur, State Uttar Pradesh, PIN [illegible], **Jhansi** : [illegible] & [illegible], 1stFloor, SBD Chitra Road, Infront of Dhyanchand Stadium, Civil Lines, Jhansi, Uttar Pradesh-[illegible], [illegible], **Kanpur** : [illegible], Civil Lines, Kanpur, Uttar Pradesh-[illegible], [illegible], **Lucknow** : Aryan Business Park, 2nd Floor, [illegible], Park Road, (Old [illegible] Road) Lucknow, Uttar Pradesh-[illegible], [illegible], **Mathura** : 1st floor, SPD Tower, Govardhan Road, Opp. Jai Niyam Office, Krishna Nagar, Mathura, Uttar Pradesh-[illegible], [illegible], **Moradabad** : Shri Vallabh Complex, Near PMS School & Cross Road Mall, Pili Kothi, Civil Lines, Moradabad, Uttar Pradesh-[illegible], [illegible], **Muzaffarnagar** : Shop No.[illegible], First Floor, Janakh Road, Kambalwala Bagh, District Muzaffarnagar, State Uttar Pradesh, PIN [illegible], **Rudrapur** : Durga Krish Tower, First Floor, [illegible], Feroj Gandhi Nagar Vijay City, District Rudrapur, State Uttar Pradesh, PIN [illegible], **Varanasi** : 1st Floor, Bhavani Market, [illegible], Rathyatra, Varanasi, Uttar Pradesh-[illegible], [illegible].

EAST ZONE

BIHAR REGION

Arrah : Khata No. 1729 & 1730 and its Plot No.[illegible](Part) Ground Floor of the building namely Radhika Complex situated at East Lawns Road, Opposite Shahid Bhawan, Ara, P.S.- Ara Town, Bhojpur, Bihar-802301, **Bettiah**: 1st Floor, Jagraya Cinema Road, Chhaoni, Misra Tola, Near-PNB, Bettiah, District West Champaran, State Bihar, PIN 845 438, Tel No.[illegible], **Begusarai**: Radha Krishna Market, Patel Chowk, District Begusarai, State Bihar PIN 851101, Tel No.[illegible], **Bhagalpur** : 1st Floor, Kavita Apartment, Opp Head Post Office, Mahatma Gandhi Road, Bhagalpur, Bihar-812 001, Tel. (0641) [illegible], **Bihar Sharif** : First Floor of the building namely Kamla complex "Pabbu Market", Ranchi Road, Bihar Sharif, Nalanda, Bihar, Bihar-803101, **Darbhanga** : J.K. Plaza, 1st Floor, Rajkumarganj Main Road, Mirzapur, Near LIC Darbhanga / Wazirland, Darbhanga, Bihar-846 003, Tel. (06272) [illegible], **Gaya** : 1st Floor, Ram Complex Opp. Fire Brigade, Swarajpuri Road, Gaya, Bihar-823 001, Tel. (0631) [illegible], **Muzaffarpur** : Ground Floor, LIC Jeevan Prakash Building, Uma Shankar Pandit Marg, Opposite Devisthan (Devi Mandir), Club Road, Muzaffarpur, Bihar-842 002, Tel. (0621) [illegible], **Patna** : 3rd Floor, Harshvardhan Arcade, Beside Lok Nayak Jai Prakash Bhawan, (Near Dak Bunglow Crossing) Fraser Road, Patna, Bihar-800 001, Tel. (0612) [illegible].

NORTH EAST REGION

Agartala : Surya Chowmuhani, Suryya Building (1st Floor) Hari Ganga Basak Road, West Tripura, Agartala, Tripura-799 001, Tel. [illegible], **Aizawl**: A 27, Kels Bakery, Lower Zarkawt, Chanmari District Aizawl, State Mizoram, PIN 796007, Tel No.[illegible], **Dibrugarh**: 1st Floor, House of Sukhma Chandra Jain, New Market, H.S Road, Near Hotel East End, District Dibrugarh, State Assam, PIN 786001, Tel No. [illegible], **Guwahati**: 1st Floor, Nandanam Building, Motilal Nehru Road, Panbazar, Guwahati, Assam-781 001, Tel. [illegible], **Jorhat** : 1st Floor, Hotel President Commercial Complex, Dar Ali, Thana Road, Jorhat, Assam-785 001, Tel. [illegible], **Nagaon** : Dag No 781 of P.P No 491, on the ground floor A.K.B ROAD, K.K.B ROAD, Nagaon, Assam, Nagaon, NORTH EAST-782001 **Shillong**: 1st Floor, RPG Complex, Keating Road, Shillong, Meghalaya - 793001, Tel. [illegible], **Silchar** : 1st Floor N. N. Dutta Road, Premtala, Silchar, Assam-788 001, Tel. [illegible], **Tinsukia**: Ward No 3, Chirwapatty Road, Tinsukia, Assam-786 125, Tel. [illegible]

ORISSA & JHARKHAND REGION

Angul : Floor, Plot No. 128, 129 , situated at Gandhi Marg, Near Hanuman Temple, Amalapada, Angul Town, Ward No. 11, P.O./P.S./Dist. Angul, Orissa Jharkhand-759122, **Balasore**: Plot. No. 270, 1st Floor, Station Bazar, Near Durga Mandap, Balasore, Odisha-756 001, Tel. [illegible], **Berhampur(ORISSA)** : 4th East Side Lane Dharma Nagar, Gandhi Nagar, Berhampur, Odisha-760 001, Tel. [illegible], **Bhubaneswar** : 1st Floor, Orissa Co-operative Housing Corporation Ltd Building 25, Janpath, Near Ram Mandir, Bhubaneswar, Odisha-751 001, Tel. [illegible], **Bhadrak**: 1st Floor, Hotel City Residency Complex, Narijan, Bank Chhak, Near Old Bus Stand, District Bhadrak, State Odisha, PIN 756100, Tel No. [illegible], **Bokaro**: Flat no. B-1, 3B-C City Centre, Sector-4, Bokaro Steel City, Bokaro, Jharkhand-827 004, Tel. [illegible], **Cuttack** : 3rd Floor, Manisha Residency, Link Road, Arunodaya Market, District – Cuttack State Odisha Pincode – 753012 Tel No. [illegible], **Deoghar** : Ground Floor of the building namely Durga Tower V.I.P Chowk, Court Road, Deoghar, Orissa Jharkhand-814112, **Dhanbad** : Unit No. 937 1st Floor, Cosmo Plaza, Bank More, Dhanbad, Jharkhand-826001, Tel. [illegible], **Jamshedpur** : 1-A, Ram Mandir Area, Main Road, Bistupur, Jamshedpur, Jharkhand-831 001, Tel. [illegible], **Hazaribag**: 1st Floor, Dhaman Complex, Near Annada Chowk, Above Union Bank, District Hazaribag, State Jharkhand, PIN 825301, Tel No. [illegible], **Keonjhar**: 1st Floor, Singh Market Complex, Jhumuddin Square, District Keonjhar, State Odisha, PIN 758001, Tel No.[illegible] **Mayurbhanj**: 1st Floor, Tosasani Building, Ward No.2, Lalbazar, Baripada, District Mayurbhanj, State Odisha, PIN 757001, Tel No.[illegible], **Ranchi**: Kajaria Plex, 2nd Floor Opp. Weal House Main Road Ranchi Pin Code 834 001 Jharkhand Phone No. [illegible], **Rourkela** : Shree Vyas Complex, Ground Floor, Panposh Road, Near Shalimar Hotel, Rourkela, Odisha-769 004, Tel. [illegible], **Sambalpur** : 1st Floor, R. N. Complex, Opp Budharaja High School, Beside LIC Building, Budharaja, Sambalpur, Odisha-768 004, Tel. [illegible], **Puri** : 1st Floor Above Indian Bank, VIP Road, Puri, Orissa Jharkhand-752001, Tel. (06752) [illegible].

WEST BENGAL REGION

Asansol : 1st Floor, 65/76/312/X G. T. Road, Asansol West Bengal – 713303 Tel :(0341)-2221818 **Bankura** : shop/malgas bearing No. 33/1/A on the Ground Floor "Ganesh" situated at Natunchati, raghunathpur, Main Road, Bankura, West Bengal-722101, **Barasat** : 27 Jessore Road, 1st Floor, Kalipukur, Barasat, North 24 Parganas, West Bengal-700 124, Tel 033-25842662/25846785, **Bardhaman** : 2nd Floor, Sree Gopal Bhavan, 27A, Parbirhata Bardhaman, West Bengal-713 103, Tel: 0342-264-7228/7732, **Berhampore (WB)** : 1/2 K. K. Banerjee Road, First Floor, Gorabazar, Berhampore, Murshidabad, West Bengal-742 101, Tel: (03482) 274231, 277389, **Birbhum**: Panjapti Lodge, Ground Floor, Shantiniketan Road, Bhubandanga, Bolpur, District: Birbhum, State: West Bengal, PIN: 731204, Tel No. 03463-258033, **Coochbehar**: Ground Floor, Rani Mahal, Silver Jubilee Road, Ward No 8, Dharmasala More, District: Coochbehar, State: West Bengal, PIN 736101, Tel No.: 03582-220828 **Durgapur**: 3rd Administrative Building, 2nd Floor, City Centre, Asansol Durgapur Development Authority, Durgapur, West Bengal-713 216, Tel: (0343) 2545 331/330, 2545 132, **Gangtok**: C/O B. K. Enterprise, 1st Floor, Near NBBB College, Tadong Bazar, National Highway 10, Gangtok, East Sikkim, Sikkim, PIN: 737102, **Haldia**: Premises No. 3/30, Akash Ganga Commercial Complex, 3rd Floor, Basudebpur, Khanjanchak, Haldia, District: Purba Medinipur, State: West Bengal, PIN: 721602, Tel No.: 03224-252298, **Howrah**: 2nd Floor, "Krishna Enclave", Bhagat Lal Lohia Lane, P.O. – Howrah Main, P.S. – Golabari, District Howrah, State: West Bengal, PIN: 711101 Tel No.: 033-32920037 **Jalpaiguri** : shop/malgas bearing No. R.S. Khatian No. – 2320, R.S. Sheet No. – 32, R.S. Plot No. – 1032 Ground Floor of the building namely Sunny Apartment situated on Plot No. – 1032, bearing part of Holding No. 363/1597/2/62, locally known as Club Road, Kayahatti, Jalpaiguri, West Bengal-735101, **Kalyani**: B-9/33 (CA), Kalyani, District Nadia, West Bengal- 741235 Tel: (033)-25022130/25822133, **Kharagpur** : Atual Real Estate, 1st Floor, "MS Tower", O T Road, Opp College INDA, Dist Midnapur (W), Kharagpur West Bengal-721 305, Tel :03222-225318, 225320, **Kolkata (Main)** : 28, Netaji Subhash Road, Kolkata, West Bengal-700 001, Tel: (033) 22428273/22434832, 2213 4833, **Krishnanagar**: 21, Ananta Hari Mitra Road, Weish Building, 1st Floor, Natunpara, Krishnanagar, Opposite DOMINOES Pizza Restaurant, Beside HDFC Life Insurance Office, District: Nadia, State: West Bengal, PIN 741101, Tel No.:03472-251825, **Malda** : 13/22 K. J. Sanyal Road, 1st Floor, Opp Chamla Taxi Stand, Malda, West Bengal-732 101, Tel: 03512-222704, 03512-222703, **Raiganj**: 1st Floor, Scaids, SBI Main Branch, N. S. Road, Raiganj, District: Uttar Dinajpur, State: West Bengal, PIN 733134, Tel No. 03523-250988, **Rash Behari** : Ground Floor, 99, Rash Behari Avenue, Kolkata, West Bengal-700 029, Tel: (033) 2463 9811/9812/9813, 2463 9813, **Salt Lake** : AD-33 Sector-1, Salt Lake City, Kolkata, West Bengal-700 064, Tel: (033) 40010433 / 40028988, **Serampore** : 6/ A/11, Roy Ghat Lane,"Homeland Complex", Ground Floor, Serampore, Hooghly, West Bengal-712 201, Tel: (033) 26223133, 26223134, **Siliguri** : Vyom Bhawan, Pranami Mandir Road Ward No 4a, Siliguri, West Bengal-734 001.

SOUTH ZONE

ANDHRA PRADESH REGION

Anantapur : shop/malgas bearing No. D.No.10-105, TVS Bldg, Subhash Road, Anantapur 2nd Floor of the building namely Vidyadhari Estates Private Limited situated in Subhash Road Anantapur Anantapur, Andhra Pradesh-515001, Tel: 08554-250206, **Guntur** : 21-9-832, 2nd Floor, old No. 6-8-9, 5/2, Arundelpet Guntur Andhra Pradesh – 522002 Tel: 0863-2233387, 2233384, **Kadapa** : 40/377-11-3 Bhagya Nagar Colony Kadapa Andhra Pradesh- 516002 Tel: 08562-222243, **Kakinada**: 20-2-37/1, Ground Floor Revenue Ward No. 13, Sanjeev Vari Street, Kakinada District: East Godavari, State: Andhra Pradesh PIN: 533 001, Tel No.:9177772808, **Kannur** : door No. 21/2277 on the 2nd Floor Ground Floor Building situated at Fort Road Kannur Kannur, Andhra Pradesh-670001, Tel: 0497 2713088, **Karimnagar** : D.No. 1-8-30/221, Survey no. 235,237 and 238 Laxmi Nagar, Old Bazar, towards Kaman to Housing board road, Karimnagar Karimnagar, Andhra Pradesh-, Tel: 0878-2233171, **Khammam** : shop/malgas bearing No. 2nd floor, 10-8-203/A (Old door no. 9-3-123/1) Srinagar Colony, Opposite to Khanapuramhaveli Police Station, Wyra Road, Khammam Khammam, Andhra Pradesh-507002, Tel: 08742-227681/9133016355, **Kurnool** : 'KOON Legend situated at D Nos. 40/39-1 A and 40/39/2 old D. No 40/39-3-1-A and 40 /39-3B Kurnool City Kurnool City, Andhra Pradesh-518001, Tel: 08518-433380, **Nellore** : # 16/1603, 1st Floor, Sunshine Plaza, Ramalingapuram, Main Road, Nellore, Andhra Pradesh-524 003, Tel: 0861-2333318/19 ,**Nizamabad**: D. No. 5-6-630, Ward 5, Block 3, Shop A, Green View, First Floor, Bank of Baroda Building, Yellammagutta, Hyderabad Road, District Nizamabad, State Telangana PIN - 503 003, Ongole: D. No. 2-997, Shop no. 31 & 32, Gayathri Mukherva Ground Floor, Balaji Nagar, Kurnool S. No. 117, Ward No. 23, Ongole District: Ongole, State: Andhra Pradesh PIN: 523 001, Tel No. - 8822773313, Punjagutta : 6-3-879, 1st Floor, Elite Plaza, Green Land Road Punjagutta, Hyderabad, Telangana-500 082, Tel: 040-23417823/7842, Rajamahendravaram : Door No.7-28-31, 1st Floor, Jagadi Plaza, Mutuvi Vari St, T. Nagar, Rajamahendravaram, East Godavari, Andhra Pradesh-533 101, Tel: 0883-2430424/2432264/2432222, Srikakulam: Vaidehi Kamakshari Complex, Second Floor, One Way Traffic Road, Near Saraswathi Theatre, Srikakulam, District - Srikakulam, State: Andhra Pradesh PIN: 532 001, Tel No.-8886223308, Tirupati : D. No. 20-1-201-C, Ground Floor, Korlagunta Junction, Tirumala Bypass Road, Tirupati, Andhra Pradesh-517 501, Tel: 0877-2221367/2270330, Vijayawada : # 27-13-36 Ground Floor SSN Reddy Complex, Gudavalliwari Street, Governorpet Vijayawada, Andhra Pradesh-520 002, Tel: 0866-2578815/2578128, Vizianagaram: Shop No. 3, Second Floor, PSN Estate, LTE Road, Near RTC Complex, Vizianagaram, District - Vizianagaram, State: Andhra Pradesh, PIN: 535 002, Tel No. - 8886223307, Visakhapatnam : # 47-3-58, 1st Floor Dwaraka Nagar, 5th Lane Beside RVR College - Visakhapatnam, Andhra Pradesh - 530016, Tel: 0891-2748101 / 2748102 / 2808872, Warangal : D. No. [illegible], Shop No. 3, 3A & 3, Warangal City Centre Near Mulugu X Road, Warangal, Telangana-506 007, Tel: 0870-2441898/2440788/2440722.

KARNATAKA REGION

Bangalore (Main) : 1st Floor, Centenary Building, No 28, M G Road, Bangalore, Karnataka-560 001, Tel: 080-25582122/133, Belgaum : 1st Floor, 'Indira', Dr. Radha Krishna Marg, 5th Cross, Subhash Market, Hindwadi, Belgaum, Karnataka-590 011, Tel: 0831-242 3637, Bellary : Sangamesha Doddabasavangouda Tower, 2nd Floor, Next to Ganesh Petrol pump, Main Road, Parvathi Nagar, Bellary Karnataka - 583 101 Tel: 08392 - 254848/255850, Davangere : No.998 (Old No.422/1A), "Satya Sadhana" Kuvempu Road, Lawyers Street, K B Extension, Davangere, Karnataka-577 002, Tel: 08192-231731/30, Gulbarga : F-8, First Floor, Asian Complex, Near City Bus Stand, Head Post Office Road, Super Market, Gulbarga, Karnataka -585 101, Tel: (08472) 273864/83, Hubli : Kalburgi Square, 1st Floor, T B Road, Near Desai Cross, Hubli, Karnataka-580 029, Tel: 0836-2353962,2252561,2252188, Karwar: 57 1st Floor, Landmark Ultima, Opp SBI, KHB Road, Karwar, District: North Kanara, State: Karnataka PIN: 581301, Tel No.: 82819 70872, Malleswaram : No 20, Marak Plaza, 8th Main, 13th Cross, Malleswaram West, Bangalore, Karnataka-560 003, Tel: 080-23340872, Mangalore : 103 /105, 1st floor, Indus Business Centre, Near Canara Bank, Bunts Hostel - Kadri Road, Mangalore, Karnataka-575003, Tel: (0824) - 2432298/2438838/2423322, Mysore : No. 399, Ground Floor, 10th Main, Saraswathipuram, Mysore, Karnataka - 570 009 Tel: 0821-2344623, Shimoga : #301, Second Floor, F Square, 5th Parallel Road, Durgigudi, Shivamoga Karnataka - 577201 Tel: 08182-252077, Tumkur: 32-A, 3rd Floor, Lakshmaiah Mansion, Ashoknagar 7th Cross, District: Tumkur, State: Karnataka, PIN: 572102, Tel No.: 9008092313, Udipi : shops no. bearing no. No.3-4-107/3 and 3-4-107/3(1), on the First Floor of the building namely Ciera Complex situated in Jedukatte, 76 Badagubettu Village, Kunjibettu ward Udipi, Karnataka-576101, Tel: 0820-2521186/4213393.

TAMIL NADU & KERALA

Anna Nagar : W 123, Third Avenue (First Floor, Above Karnataka Bank), Anna Nagar, Chennai, Tamil Nadu-600 040, Tel: (044) 42070820 & 26260987, Alappuzha : AMCW/14/2313 On The 1st Floor Of Sree Rajarajeswari Building Church Road, Mullackal Ward, Alleppey, Alleppey, Tamil Nadu & Kerala-688011, Tel: 0477-4022683, Chennai (Main) : No 150, Capital Tower, Ground Floor, Opp To Hotel Palmgrove, Kodambakkam High Road, Nungambakkam, Chennai, Tamil Nadu-600 034, Tel: (044)- 42379342/42371263, Cochin : Ground Floor, Palazhi Building, Chitoor Road, Near Krishna Hospital Iyyattu Junction, Ernakulam, Cochin, Kerala-682 011, Tel: (0484) 2382019/2382743/2382163, Coimbatore : "K G Chambers", 1st Floor, 1033, Avanashi Road, Above KBL Bank Coimbatore, Tamil Nadu-641 018, Tel: (0422) 2302874 / 2301372, Dindigul: SARVI COMPLEX, First Floor, 70 16, Batlagundu Road, Kamarajar Thirunagar, Near Kamarajar Kalyana Mahal, District: Dindigul, State: Tamil Nadu, PIN:624 001, Tel No.: 0451-2423130, Erode : Shop/Mulgies Bearing No. 151A First Floor, Situated in Kumarasamy Street, Erode Erode, Tamil Nadu & Kerala-638001, Kasaragod: Geetha Complex, First Floor, Door No - 22/337/B, Kallikkunnu Road, Fort-Kasaragod, District: Kasaragod, State: Kerala, PIN: 671121, Tel No.: 9995730782, Kottayam : Muringampadam Chambers, Ground Floor, 17/433-C, CMS College Road, Kottayam, Kerala-686 001, Tel:

([illegible])-[illegible], ([illegible])[illegible], **Kozhikode** : Aydeed Complex, YMCA Cross Road, Kozhikode Calicut, Kerala-673 001, Tel: ([illegible]) [illegible], **Kumbakonam:** Block -31, First Floor, No 10, Sarangapani East Kadai Vadampokki Street (@ TSR Big Street), Kumbakonam, District: Thanjavur, State: Tamil Nadu, PIN [illegible], Tel No.: [illegible] **Madurai** : No. 2 West Masi Street, LIC Building (1st Floor), Opposite To Railway Station, Madurai, Tamil Nadu-625 001, Tel: ([illegible])[illegible], **Malappuram** : No.[illegible] On The 1st Floor, Kaliya Kgom Avenue Situated In Near Collector Bungalow, Uphill, Malappuram Malappuram, Tamil Nadu & Kerala-[illegible], Tel: [illegible], **Nagercoil:** Bhavan Arcade II, First Floor [illegible] A, Cape Road, Opposite to St. Joseph School, Nagercoil, District : Nagercoil, State: Tamil Nadu PIN: [illegible], Tel No.: [illegible], **Namakkal:** Periyanaayagander Plaza, First Floor, No. [illegible], Anna Nagar, Paramathi Road, Periyapatti, Namakkal, District: Namakkal, State: Tamil Nadu, PIN : 637001, Tel No. [illegible], **Palakkad** : No. [illegible] On The First Floor A.K. Tower Situated In Palakkad, Civil Station Road, Palakkad Palakkad, Tamil Nadu & Kerala-678001, Tel: [illegible], **Pondicherry** : Door No. 22, Savitha Plaza, 100 Feet Road, Near Indira Gandhi Square Pondicherry, Pondicherry-605001, Tel: [illegible], **Salem** : Old No.28, New No. 60, 1st Floor, Ramakrishna Road, Opp to Azhagiyamanavalar Temple, Salem, Tamil Nadu [illegible] Tel: [illegible]/ [illegible], **Tanjavur:** 23 D/8, Pudu Road, West Kamaraj Nagar, Near (Government Medical College) Thanjavur, District: Thanjavur, State: Tamil Nadu, PIN: [illegible], Tel No.: [illegible], **Thanjavur:** No. 1, Pakkiasamy Pillai Street, First Floor, Gandhi Nagar, Thanjavur, District : Thanjavur, State: Tamil Nadu, PIN: 613001, Tel No.: [illegible] **Thiruvananthapuram:** 1st Floor, Sanas Chambers, Vellayambalam, Thiruvananthapuram, Kerala-695 010, Tel: ([illegible]) [illegible], **Tiruchirapalli** : Kingsley Park, No. 18/1, Puthur High Road, (Opp. Anna Theatre), Puthur, Tiruchirapalli, Tamil Nadu-620 017, Tel: ([illegible]) [illegible], [illegible], **Tirunelveli** : 1st Floor, 10/4 Thaha Plaza South Bypass Road, Vannarpet, Tirunelveli, Tamil Nadu-627 003, Tel: ([illegible])[illegible], **Tirupur** : 226, Tip Top Commercial Centre, 2nd Floor, [illegible], Khaderbaksh Amman Koil Second Street, College Road, Tirupur Tamil Nadu [illegible] Tel: [illegible], **Trichur** : Kollannur Devassy Building, 28/801, 1st Floor Town Hall Road, Trichur, Kerala-680 020, Tel: ([illegible]) [illegible], [illegible], [illegible], **Vellore** : Grand Lingam Residency, Sankarampalayam, Arni Road, Vellore, Tamil Nadu-632 001, Tel: [illegible].

UTI NRI CELL

UTI Tower, 'Gn' Block, Bandra-Kurla Complex, Bandra (E), Mumbai-400 051, Tel: [illegible] • Fax [illegible] •E-mail: uti-nri@uti.co.in

OFFICE OF THE REGISTRAR

KFin Technologies Ltd., Unit: UTIMF, Karvy Selenium Tower B, Plot Nos. 31 & 32, Financial District, Nanakramguda, Serilingampally Mandal, Hyderabad - 500 032, Board No. 040-6716 2222, Fax No.: 040-6716 1888, Email: uti@kfintech.com

KFin TECHNOLOGIES LIMITED CENTRES

Abohar: C/o. Shri S K Goyal, Business Development Associate of UTI Mutual Fund, H. No. [illegible], Street No.2, 7[th] Chowk, Abohar, Punjab-152 116, Tel: [illegible], **Bangalore:** Unit No- 201, No- 22, , Sumaa Enclave, 2nd Floor, Puttanna Road, Gandhi Bazar Basavanagudi, Bangalore- [illegible], Karnataka Tel: [illegible] / [illegible], **Begusarai** : C/o Dr Hemant Prasad Babu Ward No 13, Behind Alka Cinema, Begusarai, Bihar – [illegible], Tel: [illegible], **Borivali:** Jeevan Apartment, Ground Floor Shop No [illegible], SVP Road, Opp HDFC Bank, next to Jain Temple, Borivali (West), Mumbai 400092 , Tel: [illegible] **Chinsurah:** No : 96, PO: Chinsurah, Doctors Lane, Chinsurah [illegible], West Bengal, Phone no: [illegible], **Daltonganj:** C/o Mr Shailesh Shrivastava, Mahendra Arcade, 2[nd] Floor, Near Zila School Chowk, Daltonganj, Dist. Palamau-822 101, Jharkhand, Mob.: [illegible], **Deoria:** K. K. Plaza, above Apurva sweets, Civil Lines Road, Deoria [illegible], Uttar Pradesh, Phone No. [illegible], **Ferozepur:** The Mall Road, Chawla building 1st Floor, Opp. Central Jail, Near Hanuman Mandir, Ferozepur [illegible], Punjab, Phone No. [illegible], **Ganganagar:** C/o Mr Laxmi Narayan Gupta, 55, Bharat Kuti, Opposite Private Bus Stand, Ganganagar City, Dist. Sriganganagar, Rajasthan-335 001, Tel. No. [illegible], **Hoshiarpur:** Unit No. SF6, The Mall Complex, 2[nd] Floor Opp.Kapila Hospital, Sutheri Road, Hoshiarpur Punjab, Pin -146001 Tel. No. [illegible] **Katihar:** C/o Mr.

Parvesh Bansal, Business Development Associate, S.C.O. No. [illegible], 1st Floor, Sector-20, Urban Estate, Kaithal, Haryana-136027, Tel. No.: (01746) [illegible], Karnal: 3, Kanchan Colony Near Chawla / C.Sethia Hospital, Karnal-132 001, Haryana, Tel.-(0184) [illegible], Nadiad: C/o Shri Sanjay B Patel, Subhash Corner Ty Bhagol, Station Road Off Ghodia Bazar, Nadiad, -387001, Gujarat, Tel.: [illegible], Kolkata: [illegible] Russel Street, 4th Floor, Kankaria Centre, Kolkata-700071, West Bengal, Phone No. [illegible], Kollam: Sree Vigneswar Bhavan, Shastri Jn. Kollam-691 001, Kerala, Tel.: (0474) [illegible], Mirzapur: Ground Floor, Triveni Campus Ratan Ganj, Mirzapur-231 001, Uttar Pradesh, Tel.: [illegible], Mumbai: [illegible], Surya Mahal, Fort Nariman st, Shop No 2, Ground Floor, next to Agoa Bazar, Fort, Mumbai 400001, Maharashtra Tel.: 022-[illegible], New Delhi - 303, New Delhi House, 27 Barakhamba Road, New Delhi- 110001, Tel No.011-[illegible], Palghat (Palakkad) - No. 20 & 21 Metro Complex H.P. O. Road Palakkad H.P.O. Road Palakkad Kerala, PIN Code -678001 Contact number: [illegible], Pathankot: 2nd Floor, Sahni Arcade, Adjoining Indra Colony Gate, Railway Road, Pathankot, Punjab-145 001, Tel. No. 0186 [illegible], Port Blair: C/o Mr. P Krishna Murthy, Mohana Tower Ground Floor, 2 Middle Point, 122 M G Road Port Blair, -744101, Mobile: [illegible], Rewa: Shop No. 2, Shree Sai Anmol Complex, Ground Floor, Opp Teerth Memorial Hospital, Rewa-486001, Madhya Pradesh, Phone No [illegible], Secunderabad - JBS Station, Lower Concourse 1 (2nd Floor) Situated in Jubilee Bus Metro Station, Secunderabad 500009, Srinagar: [illegible], Shop No. [illegible], 2nd Floor, Opposite [illegible], Subhash Chowk, Srinagar-[illegible] Tel.: [illegible], Srinagar: C/o Bari Sunita Mulla (Kaul), 2nd Floor Room No -[illegible], 2nd Floor Room No -[illegible], Srinagar - 190001, Tel.: (0194) [illegible], Sultanpur - 1st Floor, Ramashanker Market, Civil Line, Sultanpur, Uttar Pradesh, Pin-228001, Mobile No. [illegible], Thane: Tregunal Kiles, 1st Floor, Shop no 102, Near Naupada Police Station, Near Hari Niwas Circle, Thane West, Mumbai- 400602 Tel.: 022 [illegible], Thiruvalla: 2nd Floor, Erinjery Complex, Ramanchira, Opp. Axis Bank Ltd, Thiruvalla, Kerala-689 107, Tel.: (0469) [illegible], Andheri: Office No 103, 1st Floor, MTR Cabin-1, Vertex, Navkar Complex M .V .Road, Andheri East, Opp Andheri Court, Mumbai, 400069, 022-[illegible], Yamuna Nagar: Jagdhari Road, Above UCO Bank, Near DAV Girls College, Yamuna Nagar-135 001, Haryana, Tel.: [illegible].

DUBAI REPRESENTATIVE OFFICE

UTI International (Singapore) Private Limited, Office 15, Floor 3, Gate Village 06, Dubai International Financial Center, P.O. Box [illegible], Dubai, UAE, Tel: +971[illegible], Fax: +971[illegible]

MF UTILITY FOR INVESTORS

The online portal of MF Utilities India Private Ltd (MFUI) i.e. www.mfuonline.com and authorized Points of Service ("POS") of MFUI shall act as Official Points of Acceptance (OPAs) in addition to the existing OPAs of the UTI AMC Ltd. For further details please refer to SID/SAI.

MF CENTRAL

As per provisions on 16.6.1 of para 16.6 under Chapter 16 of SEBI Master Circular for Mutual Funds, Kfin Technologies Limited ("KFintech") and Computer Age Management Services Limited ("CAMS") have jointly developed MFCentral - A digital platform for transactional service requests by Mutual Fund investors. Accordingly, MF Central will be considered as an Official Point of Acceptance (OPA) for transactions in the Schemes of UTI MF.

Open Network for Digital Commerce (ONDC)

The Open Network for Digital Commerce (ONDC) (the Network') is an official point of acceptance for the financial transactions under the Regular Plans of the schemes of UTI Mutual Fund (the 'Fund'), excluding Exchange Traded Funds.